

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

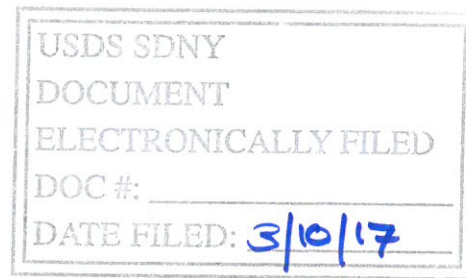
THE SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

-v-

ELEK STRAUB, TAMAS MORVAI, and  
ANDRAS BALOGH,

Defendants.



No. 11 Civ. 9645 (RJS)  
ORDER

RICHARD J. SULLIVAN, District Judge:

The Court is in receipt of a single-page joint letter dated March 10, 2017 informing the Court that Plaintiff U.S. Securities and Exchange Commission (“SEC”) and Defendant Elek Straub have reached “an agreement in-principle” to resolve the SEC’s claims. (Doc. No. 301.) The parties report that the settlement is contingent upon approval by the SEC, which typically takes about six weeks. While the parties wait for the Commission to vote, they request that “all proceedings with respect to Mr. Straub be held in abeyance,” including three motions *in limine* to which the SEC’s responses are due today and four motions *in limine* to which Mr. Straub’s responses are due today.

The parties’ request is DENIED. Unless and until there is an executed settlement and dismissal of all remaining claims, the parties shall comply with the Court’s prior scheduling orders. Of course, if the parties are confident that their agreement in-principle will result in settlement, they may choose not to respond to the pending motions *in limine*. But the Court will

not re-open pre-trial motion practice if the settlement negotiations do not produce a consummated agreement, and trial will commence on May 8, 2017 absent such an approved settlement.

SO ORDERED.

Dated: March 10, 2017  
New York, New York

A handwritten signature in black ink, appearing to read "Richard J. Sullivan", written in a cursive style.

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RICHARD J. SULLIVAN  
UNITED STATES DISTRICT JUDGE