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U.S. District Court
Southern District of New York (Foley Square)
CRIMINAL DOCKET FOR CASE #: 1:22-cr-00673-LAK All Defendants

Case title: USA v. BANKMAN-FRIED

Date Filed: 12/09/2022

Assigned to: Judge Lewis A. Kaplan

Defendant (1)**Samuel Bankman-Fried***also known as*

Sealed Defendant 1

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Pending Counts**Disposition**

18:1349.F ATTEMPT AND CONSPIRACY
TO COMMIT WIRE FRAUD (ON
CUSTOMERS)

(1)

18:1349.F ATTEMPT AND CONSPIRACY
TO COMMIT WIRE FRAUD ON
CUSTOMERS OF FTX

(1s)

18:1349.F ATTEMPT AND CONSPIRACY
TO COMMIT WIRE FRAUD ON
CUSTOMERS OF FTX

(1ss)

18:1343.F FRAUD BY WIRE, RADIO, OR
TELEVISION (ON CUSTOMERS)

(2)

18:1343.F FRAUD BY WIRE, RADIO, OR
TELEVISION ON CUSTOMERS OF FTX

(2s)

18:1343.F FRAUD BY WIRE, RADIO, OR
TELEVISION ON CUSTOMERS OF FTX

(2ss)

18:1349.F ATTEMPT AND CONSPIRACY
TO COMMIT WIRE FRAUD (ON
LENDERS)

(3)

18:371.F CONSPIRACY TO COMMIT
FRAUD ON CUSTOMERS OF FTX IN
CONNECTION WITH PURCHASE AND
SALE OF DERIVATIVES

(3s)

18:371.F CONSPIRACY TO COMMIT
FRAUD ON CUSTOMERS OF FTX IN
CONNECTION WITH PURCHASE AND
SALE OF DERIVATIVES

(3ss)

18:1343.F FRAUD BY WIRE, RADIO, OR
TELEVISION (ON LENDERS)

(4)

7:13C.F & 9.F FRAUD ON CUSTOMERS
OF FTX IN CONNECTION WITH
PURCHASE AND SALE OF
DERIVATIVES

(4s)

7:13C.F & 9.F FALSE CLAIMS AND
STATEMENTS (FRAUD ON
CUSTOMERS OF FTX IN CONNECTION
WITH PURCHASE AND SALE OF
DERIVATIVES)

(4ss)

18:371.F CONSPIRACY TO COMMIT
COMMODITIES FRAUD

(5)

18:371.F CONSPIRACY TO COMMIT
SECURITIES FRAUD ON INVESTORS
IN FTX

(5s)

18:371.F CONSPIRACY TO COMMIT
SECURITIES FRAUD ON INVESTORS
IN FTX

(5ss)

18:371.F CONSPIRACY TO COMMIT
SECURITIES FRAUD

(6)

15:78J.F MANIPULATIVE AND
DECEPTIVE DEVICES (SECURITIES
FRAUD ON INVESTORS IN FTX

(6s)

18:1956-4999.F MONEY LAUNDERING -
FRAUD, OTHER (CONSPIRACY)

(7)

18:1349.F ATTEMPT AND CONSPIRACY
TO COMMIT WIRE FRAUD ON
LENDERS TO ALAMEDA RESEARCH

(7s)

18:1349.F ATTEMPT AND CONSPIRACY
TO COMMIT WIRE FRAUD ON
LENDERS TO ALAMEDA RESEARCH

(7ss)

18:371.F CONSPIRACY TO DEFRAUD
THE UNITES STATES AND VIOLATE
THE CAMPAIGN FINANCE LAWS

(8)

18:1343.F FRAUD BY WIRE, RADIO, OR
TELEVISION ON LENDERS TO
ALAMEDA RESEARCH

(8s)

18:1343.F FRAUD BY WIRE, RADIO, OR
TELEVISION ON LENDERS TO
ALAMEDA RESEARCH

(8ss)

18:1349.F ATTEMPT AND CONSPIRACY
TO COMMIT BANK FRAUD

(9s)

18:371.F CONSPIRACY TO OPERATE
AN UNLICENSED MONEY
TRANSMITTING BUSINESS

(10s)

18:371.F CONSPIRACY TO OPERATE AN UNLICENSED MONEY TRANSMITTING BUSINESS (10ss)

18:1956-4999.F MONEY LAUNDERING - CONSPIRACY (11s)

18:1956-4999.F MONEY LAUNDERING - FRAUD, OTHER - CONSPIRACY (11ss)

18:371.F CONSPIRACY TO MAKE UNLAWFUL POLITICAL CONTRIBUTIONS AND DEFRAUD THE FEC (12s)

18:371.F CONSPIRACY TO MAKE UNLAWFUL POLITICAL CONTRIBUTIONS AND DEFRAUD THE FEC (12ss)

18:371.F CONSPIRACY VIOLATE THE ANTI-BRIBERY PROVISIONS OF THE FOREIGN CORRUPT PRACTICES ACT) (13ss)

Highest Offense Level (Opening)

Felony

Terminated Counts

None

Disposition

Highest Offense Level (Terminated)

None

Complaints

None

Disposition

Assigned to: Judge Lewis A. Kaplan

Defendant (2)

Zixiao (Gary) Wang

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Pending Counts

18:1349.F ATTEMPT AND CONSPIRACY
TO COMMIT WIRE FRAUD ON
CUSTOMERS

(1)

FRAUD BY WIRE, RADIO, OR
TELEVISION ON CUSTOMERS

(2)

18:371.F CONSPIRACY TO COMMIT
COMMODITIES FRAUD

(3)

18:371.F CONSPIRACY TO COMMIT
SECURITIES FRAUD

(4)

Highest Offense Level (Opening)

Felony

Terminated Counts

None

Highest Offense Level (Terminated)

None

Complaints

None

Disposition

Disposition

Disposition

Assigned to: Judge Lewis A. Kaplan

Defendant (3)

Caroline Ellison

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Pending Counts

18:1349.F ATTEMPT AND CONSPIRACY
TO COMMIT WIRE FRAUD ON
CUSTOMERS

(1)

18:1343.F FRAUD BY WIRE, RADIO, OR
TELEVISION ON CUSTOMERS

(2)

18:1349.F ATTEMPT AND CONSPIRACY
TO COMMIT WIRE FRAUD ON

Disposition

LENDERS

(3)

18:1343.F FRAUD BY WIRE, RADIO, OR TELEVISION ON LENDERS

(4)

18:371.F CONSPIRACY TO COMMIT COMMODITIES FRAUD

(5)

18:371.F CONSPIRACY TO COMMIT SECURITIES FRAUD

(6)

18:1956-4999.F MONEY LAUNDERING - FRAUD, OTHER (CONSPIRACY)

(7)

Highest Offense Level (Opening)

Felony

Terminated Counts

None

Disposition

Highest Offense Level (Terminated)

None

Complaints

None

Disposition

Assigned to: Judge Lewis A. Kaplan

Defendant (4)

Nishad Singh

Pending Counts

Disposition

18:1349.F CONSPIRACY TO COMMIT WIRE FRAUD ON CUSTOMERS

(1)

18:1343.F WIRE FRAUD ON CUSTOMERS

(2)

18:371.F CONSPIRACY TO COMMIT COMMODITIES FRAUD

(3)

18:371.F CONSPIRACY TO COMMIT SECURITIES FRAUD

(4)

18:1956-4999.F CONSPIRACY TO
COMMIT MONEY LAUNDERING

(5)

18:1956-4999.F CONSPIRACY TO MAKE
UNLAWFUL POLITICAL
CONTRIBUTIONS AND DEFRAUD THE

FEC

(6)

Highest Offense Level (Opening)

Felony

Terminated Counts

Disposition

None

Highest Offense Level (Terminated)

None

Complaints

Disposition

None

Intervenor

FTX Trading Ltd.

Intervenor

West Realm Shires Inc.

Intervenor

Alameda Research LLC

Intervenor

Alameda Research LTD

Plaintiff

USA

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Date Filed	#	Docket Text
12/09/2022	1	SEALED INDICTMENT as to Sealed Defendant 1 (1) count(s) 1, 2, 3, 4, 5, 6, 7, 8. (jm) (Entered: 12/13/2022)
12/13/2022	2	Order to Unseal Indictment as to Sealed Defendant 1. (Signed by Magistrate Judge James L. Cott on 12/13/22)(jm) (Entered: 12/13/2022)
12/13/2022		INDICTMENT UNSEALED as to Samuel Bankman-Fried. (jm) (Entered: 12/13/2022)
12/13/2022		Case Designated ECF as to Samuel Bankman-Fried. (jm) (Entered: 12/13/2022)
12/13/2022		Case as to Samuel Bankman-Fried ASSIGNED to Judge Ronnie Abrams. (jm) (Entered: 12/13/2022)
12/13/2022		Attorney update in case as to Samuel Bankman-Fried. Attorney Danielle Renee Sassoon for USA added. (jm) (Entered: 12/13/2022)
12/13/2022	4	LETTER by USA as to Samuel Bankman-Fried addressed to Judge Ronnie Abrams from AUSA Danielle R. Sassoon dated December 13, 2022 re: status letter Document filed by USA. (Sassoon, Danielle) (Entered: 12/13/2022)
12/13/2022	5	NOTICE OF ATTORNEY APPEARANCE Nicolas Roos appearing for USA. (Roos, Nicolas) (Entered: 12/13/2022)
12/19/2022	6	S(1) INFORMATION (Felony) filed as to Zixiao (Gary) Wang (2) count(s) 1, 2, 3, 4. (jm) (Entered: 12/22/2022)
12/19/2022	7	WAIVER OF INDICTMENT by Zixiao (Gary) Wang. (jm) (Entered: 12/22/2022)
12/19/2022	8	S(2) INFORMATION (Felony) filed as to Caroline Ellison (3) count(s) 1, 2, 3, 4, 5, 6, 7. (jm) (Entered: 12/22/2022)
12/19/2022	9	WAIVER OF INDICTMENT by Caroline Ellison. (jm) (Entered: 12/22/2022)
12/19/2022		Arrest of Caroline Ellison. (ap) (Entered: 12/22/2022)
12/19/2022	doc	Minute Entry for proceedings held before Judge Ronnie Abrams: Initial Appearance as to Caroline Ellison held on 12/19/2022. Bond Hearing as to Caroline Ellison held on 12/19/2022. AUSA Nicolas Roos and Danielle Sassoon for the Government. Anian Sahni, Peter G. Neiman, Stephanie Avakian, Nick Werle, for the Defendant. BAIL DISPOSITION: AGREED CONDITIONS OF RELEASE; \$250,000 PRB; TRAVEL RESTRICTED TO SDNY/EDNY/continental United States; SURRENDER TRAVEL DOCUMENTS (& NO NEW APPLICATIONS); PRETRIAL SUPERVISION: AS DIRECTED BY PRETRIAL SERVICES. DEF. WAIVES INDICTMENT. (ap) (ap). (Entered: 12/22/2022)

12/19/2022		Minute Entry for proceedings held before Judge Ronnie Abrams: Arraignment as to Caroline Ellison (3) Count 1,2,3,4,5,6,7 held on 12/19/2022. AUSA Nicolas Roos present. AUSA Danielle Sassoon present. Pretrial Services Officer Lea Harmon present. Defendant (3) Caroline Ellison present with attorneys Anjan Sahni, Peter G. Neiman, Stephanie Avakian and Nick Werle. Defendant waives Indictment and pleads guilty to Counts One, Two, Three, Four, Five, Six and Seven of the Superseding Information. A control sentence date is scheduled for December 19, 2023. (Court Reporter Sadie Herbert) (ap) (Entered: 12/22/2022)
12/19/2022		Minute Entry for proceedings held before Judge Ronnie Abrams: Plea entered by Caroline Ellison (3) Guilty as to Count 1,2,3,4,5,6,7. (ap) (Entered: 12/22/2022)
12/19/2022		Arrest of Zixiao (Gary) Wang. (ap) (Entered: 12/22/2022)
12/19/2022	18	Minute Entry for proceedings held before Judge Ronnie Abrams: Initial Appearance as to Zixiao (Gary) Wang held on 12/19/2022. Bond Hearing as to Zixiao (Gary) Wang held on 12/19/2022. AUSAs Nicolas Roos, Danielle Sassoon for the Government. Ilan T. Graff, Alex Miller for Defendant. BAIL DISPOSITION: AGREED CONDITIONS OF RELEASE; \$250,000 PRB; 1 FRP; TRAVEL RESTRICTED TO SDNY/EDNY/continental United States; SURRENDER TRAVEL DOCUMENTS (& NO NEW APPLICATIONS); PRETRIAL SUPERVISION: AS DIRECTED BY PRETRDEF. WAIVES INDICTMENT. IAL SERVICES. DEFENDANT NOT TO COMMUNICATE WITH CO-DEFENDANTS. (ap) (Entered: 12/22/2022)
12/19/2022		Minute Entry for proceedings held before Judge Ronnie Abrams: Arraignment as to Zixiao (Gary) Wang (2) Count 1,2,3,4 held on 12/19/2022. AUSA Nicolas Roos present. AUSA Danielle Sassoon present. Pretrial Services Officer Evelyn Alvayero present. Defendant (2) Zixiao (Gary) Wang present with attorneys Ilan Graff and Alex Miller. Court reporter Sam Mauro present. Defendant waives Indictment and pleads guilty to Counts One, Two, Three, and Four of the Superseding Information. A control sentence date is scheduled for December 19, 2023. (Court Reporter Sam Mauro) (ap) (Entered: 12/22/2022)
12/19/2022		Minute Entry for proceedings held before Judge Ronnie Abrams: Plea entered by Zixiao (Gary) Wang (2) Guilty as to Count 1,2,3,4. (ap) (Entered: 12/22/2022)
12/19/2022	24	ENDORSED LETTER as to Caroline Ellison addressed to Judge Ronnie Abrams from Anjan Sahni dated December 19, 2022 ENDORSEMENT: Defendants motion to seal the courtroom during todays plea hearing is denied. The Second Circuit has instructed that closure should be invoked only upon a showing of a significant risk of prejudice to the defendant's right to a fair trial or of danger to persons, property, or the integrity of significant activities entitled to confidentiality, such as ongoing undercover investigations or detection devices. Application of The Herald Co., 734 F.2d 93, 100 (2d Cir. 1984). While the Court is sensitive to Defendants concerns about her privacy, a generalized interest in avoiding public attention or press scrutiny is not a compelling interest that justifies closure of the courtroom. See United States v. Doe, 63 F.3d 121, 127-28 (2d Cir. 1995); see also United States v. Martoma, No. 12-cr-973 (PGG), 2014 WL 164181, at *6 (S.D.N.Y. Jan. 9, 2014) (observing that, "although courts consider the defendant's privacy interest" in evaluating whether to close a proceeding, "it is generally the privacy interests of innocent third parties that weigh heavily in a court's balancing equation") (cleaned up) (emphasis in original). Nor has Defendant shown that denying this motion would pose a substantial probability of danger to her. Doe, 63 F.3d at 129-130. SO ORDERED. (Signed by Judge Ronnie Abrams on December 19, 2022)(arc) (Entered: 12/23/2022)
12/19/2022	110	Appearance Bond Entered as to Caroline Ellison: \$250,000 Personal recognizance bond; Additional conditions..... (jbo) (Entered: 03/24/2023)

12/22/2022	10	NOTICE OF ATTORNEY APPEARANCE: Alex B. Miller appearing for Zixiao (Gary) Wang. Appearance Type: Retained. (Miller, Alex) (Entered: 12/22/2022)
12/22/2022	11	NOTICE OF ATTORNEY APPEARANCE: Anjan Sahni appearing for Caroline Ellison. Appearance Type: Retained. (Sahni, Anjan) (Entered: 12/22/2022)
12/22/2022	12	NOTICE OF ATTORNEY APPEARANCE: Nicholas Werle appearing for Caroline Ellison. Appearance Type: Retained. (Werle, Nicholas) (Entered: 12/22/2022)
12/22/2022	13	Minute Entry for proceedings held before Magistrate Judge Gabriel W. Gorenstein: Initial Appearance as to Samuel Bankman-Fried held on 12/22/2022. Defendant is present with attorneys Mark Cohen and Christian Everdell; AUSA Nicolas Roos is also present. Bail is set as follows: \$250,000,000 PRB cosigned by four (4) financially responsible persons (one non-family) and secured by parent's home in Palo Alto; travel restricted to SDNY/EDNY (solely for Court appearances) and ND California; surrender travel documents and no new applications; strict Pretrial supervision; mental health evaluation/treatment as directed by Pretrial Services; home detention; location monitoring technology as directed by Pretrial Services; Defendant not to possess firearm/destructive device other weapon; two (2) non-parent sureties to sign bonds in lesser amounts to be agreed to; Defendant to live in parents' home in Palo Alto in N.D. California and to be supervised from that District; Pretrial Services office may exercise discretion to allow Defendant to leave home for exercise; Defendant not to open new lines of credit, open a business, or enter into financial transactions in excess of \$1,000 without pre-approval of the Government or Court, except to pay for legal costs and fees; equity interest in parent's home to be posted by 1/12/23. Defendant to be released on own signature plus signature of parents and surrender of passport/installation of electronic monitor with remaining conditions to be met by 1/5/23. Conference before USDJ on 1/3/23 at 2:00 PM. Speedy Trial Time excluded until 1/3/23. (jm) Modified on 12/22/2022 (jm). (Entered: 12/22/2022)
12/22/2022		Minute Entry for proceedings held before Magistrate Judge Gabriel W. Gorenstein: As to Samuel Bankman-Fried Status Conference set for 1/3/2023 at 02:00 PM before Judge Ronnie Abrams. (jm) (Entered: 12/22/2022)
12/22/2022	14	Appearance Bond Entered as to Samuel Bankman-Fried: \$250,000,000 Personal recognizance bond; To be cosigned by four financially responsible persons, one non family; Additional conditions..... (jbo) Modified on 12/22/2022 (jm). (Entered: 12/22/2022)
12/22/2022	15	ORDER as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison. On December 19, 2022, Defendants Ellison and Wang waived indictment, consented to the filing of a Superseding Information, and pleaded guilty to each of the counts with which they were charged. At the time their pleas were entered, the Court granted the government's application that the filings and transcripts of the plea allocutions would be temporarily sealed, and docketing delayed until such time as Defendant Bankman-Fried was extradited to this district from the Bahamas, finding that premature exposure of cooperation could hinder ongoing law enforcement efforts. The government now requests that the plea agreements include certain limited redactions upon unsealing. Defendant Ellison additionally requests the redaction of health-related information from the transcript of her plea allocution. For the reasons that follow, the Court grants the instant applications...[*** See this Order ***]... CONCLUSION: Accordingly, for the reasons articulated above, the Court grants the government's application to redact certain portions of the plea agreements, and grants Defendant Ellison's application to redact health-related questions and answers in the transcript of her plea allocution. All other materials related to these pleas---with the exception of the oral recitation of Defendant Wang's pretrial services report, see 18 U.S.C. § 3153(c)(1)---shall be immediately unsealed to the extent

		that they have not been already. SO ORDERED. (Signed by Judge Ronnie Abrams on 12/22/2022)(bw) (Entered: 12/22/2022)
12/22/2022		Transmission to Sealed Records Clerk: as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison. Transmitted re: 15 ORDER, to the Sealed Records Clerk for the unsealing of document(s). (bw) (Entered: 12/22/2022)
12/22/2022	16	ORDER as to Samuel Bankman-Fried. An initial conference is scheduled for January 3, 2023 at 2:00 p.m. and will be held in Courtroom 318 at the Thurgood Marshall United States Courthouse, 40 Foley Square. SO ORDERED. (Initial Conference set for 1/3/2023 at 02:00 PM in Courtroom 318, 40 Centre Street, New York, NY 10007 before Judge Ronnie Abrams.)(Signed by Judge Ronnie Abrams on 12/22/2022)(bw) (Entered: 12/22/2022)
12/22/2022	17	NOTICE OF ATTORNEY APPEARANCE: Stephanie Avakian appearing for Caroline Ellison. Appearance Type: Retained. (Avakian, Stephanie) (Entered: 12/22/2022)
12/23/2022	19	TRANSCRIPT of Proceedings as to Caroline Ellison re: Plea held on 12/19/2022 before Judge Ronnie Abrams. Court Reporter/Transcriber: Sadie Herbert, (212) 805-0310, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 1/13/2023. Redacted Transcript Deadline set for 1/23/2023. Release of Transcript Restriction set for 3/23/2023. (McGuirk, Kelly) (Entered: 12/23/2022)
12/23/2022	20	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Caroline Ellison. Notice is hereby given that an official transcript of a Plea proceeding held on 12/19/2022 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 12/23/2022)
12/23/2022	21	TRANSCRIPT of Proceedings as to Zixiao (Gary) Wang re: Plea held on 12/19/2022 before Judge Ronnie Abrams. Court Reporter/Transcriber: Samuel Mauro, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 1/13/2023. Redacted Transcript Deadline set for 1/23/2023. Release of Transcript Restriction set for 3/23/2023. (McGuirk, Kelly) (Entered: 12/23/2022)
12/23/2022	22	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Zixiao (Gary) Wang. Notice is hereby given that an official transcript of a Plea proceeding held on 12/19/2022 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 12/23/2022)
12/23/2022	23	ORDER OF RECUSAL in case as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison: It has come to the Courts attention that the law firm of Davis Polk & Wardwell LLP, at which my husband is a partner, advised FTX in 2021, as well as represented parties that may be adverse to FTX and Defendant Bankman-Fried in other proceedings (or potential proceedings). My husband has had no involvement in any of these representations. These matters are confidential and their substance is unknown to the Court. Nonetheless, to avoid any possible conflict, or the appearance of one, the Court hereby recuses itself from this action. See 28 U.S.C. § 455.SO ORDERED. Judge Ronnie

		Abrams is recused. (Signed by Judge Ronnie Abrams on December 23, 2022)(arc) (Entered: 12/23/2022)
12/27/2022		NOTICE OF CASE REASSIGNMENT as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison to Judge Lewis A. Kaplan. Judge Ronnie Abrams no longer assigned to the case. (jw) (Entered: 12/27/2022)
12/28/2022		Set/Reset Hearings as to Samuel Bankman-Fried: Arraignment and Initial Appearance set for 1/3/2023 at 02:00 PM in Courtroom 21B, 500 Pearl Street, New York, NY 10007 before Judge Lewis A. Kaplan.. (Mohan, Andrew) (Entered: 12/28/2022)
12/28/2022		Set/Reset Hearings as to Samuel Bankman-Fried: Arraignment and Initial Appearance set for 1/3/2023 at 02:00 PM in Courtroom 21B, 500 Pearl Street, New York, NY 10007 before Judge Lewis A. Kaplan. (Mohan, Andrew) (Entered: 12/28/2022)
12/28/2022	25	NOTICE OF ATTORNEY APPEARANCE Andrew Rohrbach appearing for USA. (Rohrbach, Andrew) (Entered: 12/28/2022)
12/28/2022	26	NOTICE OF ATTORNEY APPEARANCE Samuel Raymond appearing for USA. (Raymond, Samuel) (Entered: 12/28/2022)
12/30/2022	27	NOTICE OF ATTORNEY APPEARANCE: Mark Stewart Cohen appearing for Samuel Bankman-Fried. Appearance Type: Retained. (Cohen, Mark) (Entered: 12/30/2022)
12/30/2022	28	NOTICE OF ATTORNEY APPEARANCE: Christian R. Everdell appearing for Samuel Bankman-Fried. Appearance Type: Retained. (Everdell, Christian) (Entered: 12/30/2022)
12/30/2022	32	Appearance Bond Entered as to Zixiao (Gary) Wang: \$250,000 Personal recognizance bond; To be cosigned by one financially responsible person; Additional conditions..... (jbo) (Entered: 01/04/2023)
01/03/2023	29	LETTER MOTION addressed to Judge Lewis A. Kaplan from Mark S. Cohen dated January 3, 2023 re: Request to Redact Names and Identifying Information for Certain Bail Sureties . Document filed by Samuel Bankman-Fried. (Cohen, Mark) (Entered: 01/03/2023)
01/03/2023	30	NOTICE OF ATTORNEY APPEARANCE Nathan Martin Rehn appearing for USA. (Rehn, Nathan) (Entered: 01/03/2023)
01/03/2023		Minute Entry for proceedings held before Judge Lewis A. Kaplan: Arraignment as to Samuel Bankman-Fried (1) Count 1,2,3,4,5,6,7,8 held on 1/3/2023. Arraignment and Initial conference regarding Samuel Bankman-Fried before the Hon. Lewis A. Kaplan held. Defendant Samuel Bankman-Fried present with attorneys Mark S. Cohen and Christian R. Everdell. AUSAs Danielle R. Sassoon, Nicolas Roos, and Andrew Rohrbach present. Defendant arraigned on the indictment and entered a plea of not guilty. The letter application dated 1/3/2023, DI 29, to redact names and identifying information for certain bail sureties, was granted by the Court without prejudice to any application to release the names and addresses, unless otherwise ordered; any such application shall be made by motion filed no later than 1/12/2023. Trial is scheduled to begin 10/2/2023 at 9:30 AM. Defendant's motions due 4/3/2023, Government opposition briefs due 4/24/2023, Defendant's reply briefs due 5/8/2023; oral argument or a status conference shall be held on 5/18/2023 @ 10:00 AM. Time from today through and including 10/2/2023 is excluded from speedy trial calculations in the interests of justice. Bail modified to add the condition of That the defendant be prohibited from accessing or transferring any FTX or Alameda assets or cryptocurrency, including assets or cryptocurrency purchased with funds from FTX or Alameda. With FTX defined to include FTX.com, FTX U.S., FTX digital markets LTD, and all affiliated subsidiary and successor entities and Alameda defined to include Alameda Research and any affiliated subsidiary and successor entities.

		Defendant remained released on bail. (Motions due by 4/3/2023. Responses due by 4/24/2023. Replies due by 5/8/2023. Jury Trial set for 10/2/2023 at 09:30 AM before Judge Lewis A. Kaplan. Oral Argument set for 5/18/2023 at 10:00 AM before Judge Lewis A. Kaplan. Status Conference set for 5/18/2023 at 10:00 AM before Judge Lewis A. Kaplan) (Court Reporter Rebecca Forman) (ap) Modified on 1/3/2023 (ap). (Entered: 01/03/2023)
01/03/2023		Minute Entry for proceedings held before Judge Lewis A. Kaplan: Plea entered by Samuel Bankman-Fried (1) Count 1,2,3,4,5,6,7,8 Not Guilty. (ap) (Entered: 01/03/2023)
01/03/2023	31	NOTICE of Application to release names and information of bail sureties as to Samuel Bankman-Fried (Lee, Matthew) (Entered: 01/03/2023)
01/06/2023	33	AFFIRMATION of Danielle R. Sassoon by USA as to Samuel Bankman-Fried. (Attachments: # 1 Text of Proposed Order re Victim Notification)(Sassoon, Danielle) (Entered: 01/06/2023)
01/06/2023	34	ORDER as to Samuel Bankman-Fried re: 33 Affirmation filed by USA. Accordingly, it is hereby ORDERED that the following procedures are a reasonable procedure giving effect to the notification rights contained in Title 18, United States code, Section 3771(a):a. The Government will maintain a notice (the "Notice") regarding this case online at https://www.justice.gov/usao-sdny/united-states-v-samuel-bankman-fried-aka-sbf-22-cr-673-lakb . The Notice will contain the following information:i. The caption, case number, assigned judge, and name of the defendant;ii. A substantially verbatim listing of the rights provided for in Title 18, United States Code, Section 3771(a);iii. A listing of public proceedings scheduled in the case; andiv. The name and contact information for a United States Attorney's Office official with responsibility for addressing victims' rights.c. In addition, the Government will continue to update the Notice to reflect scheduled court and public proceedings, within a reasonable period of time of such scheduling;d. In advance of court proceedings such as a plea proceeding or sentencing, the Notice will specify that the Court, in order to conduct orderly proceedings and to maintain a reasonable schedule, requires advance notice from victims who wish to be heard at the relevant proceeding. Based on the number of victims who provide such notice, the Court will rule on the manner in which victims will be heard at such proceedings. (Signed by Judge Lewis A. Kaplan on 1/6/2023)(Mohan, Andrew) (Entered: 01/06/2023)
01/06/2023	35	RULE 5(f) ORDER as to Samuel Bankman-Fried. (Signed by Judge Lewis A. Kaplan on 1/6/2023) (lnl) (Entered: 01/09/2023)
01/10/2023	36	TRANSCRIPT of Proceedings as to Samuel Bankman-Fried re: Arraignment held on 12/22/2022 before Magistrate Judge Gabriel W. Gorenstein. Court Reporter/Transcriber: Sara Beiter, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 1/31/2023. Redacted Transcript Deadline set for 2/10/2023. Release of Transcript Restriction set for 4/10/2023. (McGuirk, Kelly) (Entered: 01/10/2023)
01/10/2023	37	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Samuel Bankman-Fried. Notice is hereby given that an official transcript of a Arraignment proceeding held on 12/22/2022 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 01/10/2023)

01/10/2023	38	TRANSCRIPT of Proceedings as to Samuel Bankman-Fried re: Conference held on 1/3/2023 before Judge Lewis A. Kaplan. Court Reporter/Transcriber: Rebecca Forman, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 1/31/2023. Redacted Transcript Deadline set for 2/10/2023. Release of Transcript Restriction set for 4/10/2023. (McGuirk, Kelly) (Entered: 01/10/2023)
01/10/2023	39	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Samuel Bankman-Fried. Notice is hereby given that an official transcript of a Conference proceeding held on 1/3/2023 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 01/10/2023)
01/11/2023	40	LETTER as to Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Dan R. Green, dated 1/10/2023, re: Unsealing Request. (Inl) (Entered: 01/11/2023)
01/11/2023	41	ORDER as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison: Any papers in response to Dkts 31 and 40 and any subsequent filings with respect to redaction of the names of sureties on appearance bonds for defendant Bankman-Fried shall be filed no later than January 19, 2023. SO ORDERED. (Responses due by 1/19/2023) (Signed by Judge Lewis A. Kaplan on 1/11/2023) (Inl) (Entered: 01/11/2023)
01/12/2023	42	LETTER MOTION addressed to Judge Lewis A. Kaplan from Jeremy Chase dated 1/12/2023 re: Unsealing Request by various news organizations, including The Associated Press, Bloomberg L.P., The Financial Times Ltd., CNBC LLC, Reuters News & Media Inc., Dow Jones & Co., Inc., publisher of The Wall Street Journal, Insider, Inc., and WP Company LLC, publisher of The Washington Post. . Document filed by USA as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison. (Chase, Jeremy) (Entered: 01/12/2023)
01/12/2023	43	LETTER MOTION addressed to Judge Lewis A. Kaplan from Lacy H. Koonce, III (Klaris Law PLLC) on behalf of CoinDesk, Inc. dated January 12, 2023 re: Unsealing of surety information . Document filed by USA as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison. (Koonce, Lacy) (Entered: 01/12/2023)
01/13/2023	44	LETTER by USA as to Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Danielle R. Sassoon dated January 13, 2023 re: proposed protective order Document filed by USA. (Attachments: # 1 Text of Proposed Order Proposed Protective Order)(Sassoon, Danielle) (Entered: 01/13/2023)
01/14/2023	45	STIPULATED PROTECTIVE ORDER as to Samuel Bankman-Fried regarding procedures to be followed that shall govern the handling of discovery in this case. (Signed by Judge Lewis A. Kaplan on 1/14/2023)(Mohan, Andrew) (Entered: 01/14/2023)
01/19/2023	46	LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated 1/19/23 re: 31 Notice (Other), 40 Letter, 29 LETTER MOTION addressed to Judge Lewis A. Kaplan from Mark S. Cohen dated January 3, 2023 re: Request to Redact Names and Identifying Information for Certain Bail Sureties ., 42 LETTER MOTION addressed to Judge Lewis A. Kaplan from Jeremy Chase dated 1/12/2023 re: Unsealing Request by various news organizations, including The Associated Press, Bloomberg L.P., The Financial Times Ltd., CNBC LLC, Reuters News & Media Inc., 43 LETTER MOTION addressed to Judge Lewis A. Kaplan from Lacy H. Koonce, III (Klaris Law PLLC) on behalf of CoinDesk, Inc. dated January 12, 2023 re: Unsealing of surety

		information . re: Unsealing Requests . Document filed by Samuel Bankman-Fried. (Everdell, Christian) (Entered: 01/19/2023)
01/19/2023	47	LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated 1/19/23 re: bail conditions . Document filed by Samuel Bankman-Fried. (Everdell, Christian) (Entered: 01/19/2023)
01/20/2023	48	MEMO ENDORSEMENT as to Samuel Bankman-Fried on re: 47 LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated 1/19/23 re: bail conditions. ENDORSEMENT: In view of the fact that the Government has agreed to all of the bail conditions for reasons it finds satisfactory, the Courts role here is extremely limited. I approve the signing by the non-parent sureties of separate appearance bonds and of the amounts agreed by the parties. The names and addresses of the non-parental sureties shall remain under seal pursuant to my prior ruling pending the outcome of the motion practice now under weigh with respect to whether those names should be made public. (Signed by Judge Lewis A. Kaplan on 1/20/2023) (ap) (Main Document 48 replaced on 1/20/2023) (ap). (Entered: 01/20/2023)
01/20/2023	49	BILL OF PARTICULARS by USA as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison . (Raymond, Samuel) (Entered: 01/20/2023)
01/27/2023	50	LETTER by USA as to Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from AUSA Danielle R. Sassoon dated January 27, 2023 re: bail modifications Document filed by USA. (Sassoon, Danielle) (Entered: 01/27/2023)
01/28/2023	51	LETTER by Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Mark S. Cohen dated January 28, 2023 re: bail conditions. (Cohen, Mark) (Entered: 01/28/2023)
01/28/2023	52	SCHEDULING ORDER as to Samuel Bankman-Fried.. (Signed by Judge Lewis A. Kaplan on 1/28/2023)(Kaplan, Lewis) (Entered: 01/28/2023)
01/30/2023	53	LETTER by USA as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison addressed to Judge Lewis A. Kaplan from Danielle Sassoon dated January 30, 2023 re: bail modification Document filed by USA. (Attachments: # 1 Exhibit 1, # 2 Exhibit 2) (Sassoon, Danielle) (Entered: 01/30/2023)
01/30/2023	54	NOTICE OF ATTORNEY APPEARANCE Danielle Marie Kudla appearing for USA. (Kudla, Danielle) (Entered: 01/30/2023)
01/30/2023	55	UNSECURED PERSONAL RECOGNIZANCE Bond Entered as to Samuel Bankman-Fried. \$200,000 PRB; TO BE SIGNED BY 1 FRP; TRAVEL RESTRICTED TO SDNY/EDNY/(SOLELY FOR COURT APPEARANCES) AND THE N.D. CAL; SURRENDER TRAVEL DOCUMENTS & NO NEW APPLICATIONS; STRICT SUPERVISION BY PRETRIAL SERVICES; MENTAL HEALTH EVAL/TREATMENT AS DIRECTED BY PTS; HOME DETENTION; LOCATION MONITORING TECHNOLOGY AS DIRECTED BY PTS; DEFT NOT TO POSSESS FIREARM/DESTRUCTIVE DEVICE/OTHER WEAPON; DEFENDANT TO BE RELEASED ON OWN SIGNATURE PLUS SIGNATURE OF PARENTS AND SURRENDER OF PASSPORT/INSTALLATION OF ELECTRONIC MONITOR; REMAINING CONDITIONS TO BE MET BY 1/5/23; DEF. TO LIVE IN PARENTS HOME IN PALO ALTO IN N.D. CAL. AND TO BE SUPERVISED FROM THAT DISTRICT. PTS OFFICE MAY EXERCISE DISCRETION TO ALLOW DEFENDANT TO LEAVE HOME FOR EXERCISE. DEFT NOT TO OPEN NEW LINES OF CREDIT, OPEN A BUSINESS, OR ENTER INTO FINANCIAL TRANSACTIONS IN EXCESS OF \$1,000 WITHOUT PRE-APPROVAL OF GOVERNMENT OR COURT, EXCEPT TO PAY FOR LEGAL COSTS AND FEES; EQUITY INTEREST IN PARENTS HOME TO BE POSTED BY 1/12/23. MODIFIED BY USDJ KAPLAN TO ADD THE

		CONDITION THAT THE DEFENDANT BE PROHIBITED FROM ACCESSING OR TRANSFERRING ANY FTX OR ALEMEDA ASSETS OR CYRPTOCURRENCY, INCLUDING ASSETS OR CYRPTOCURRENCY PURCHASED WITH FUNDS FROM FTX OR ALAMEDA WITH FTX DEFINED TO INCLUDE FTX.COM, FTX U.S., FTX DIGITAL MARKETS, LTD AND ALL AFFILIATED SUBSIDIARY AND SUCCESSOR ENTITIES AND ALAMEDA DEFINED TO INCLUDE ALAMEDA RESEARCH AND ANY AFFILIATED SUBSIDIARY AND SUCCESSOR ENTITIES. (lnl) (Entered: 01/30/2023)
01/30/2023	56	UNSECURED PERSONAL RECOGNIZANCE Bond Entered as to Samuel Bankman-Fried. \$500,000 PRB; TO BE SIGNED BY I FRP; TRAVEL RESTRICTED TO SDNY/EDNY/(SOLELY FOR COURT APPEARANCES) AND THE N.D. CAL; SURRENDER TRAVEL DOCUMENTS & NO NEW APPLICATIONS; STRICT SUPERVISION BY PRETRIAL SERVICES; MENTAL HEALTH EVAL/TREATMENT AS DIRECTED BY PTS; HOME DETENTION; LOCATION MONITORING TECHNOLOGY AS DIRECTED BY PTS; DEFT NOT TO POSSESS FIREARM/DESTRUCTIVE DEVICE/OTHER WEAPON; DEFENDANT TO BE RELEASED ON OWN SIGNATURE PLUS SIGNATURE OF PARENTS AND SURRENDER OF PASSPORT/INSTALLATION OF ELECTRONIC MONITOR; REMAINING CONDITIONS TO BE MET BY 1/5/23; DEF. TO LIVE IN PARENTS HOME IN PALO ALTO IN N.D. CAL. AND TO BE SUPERVISED FROM THAT DISTRICT. PTS OFFICE MAY EXERCISE DISCRETION TO ALLOW DEFENDANT TO LEA VE HOME FOR EXERCISE. DEFT NOT TO OPEN NEW LINES OF CREDIT, OPEN A BUSINESS, OR ENTER INTO FINANCIAL TRANSACTIONS IN EXCESS OF \$1,000 WITHOUT PRE-APPROVAL OF GOVERNMENT OR COURT, EXCEPT TO PAY FOR LEGAL COSTS AND FEES; EQUITY INTEREST IN PARENTS HOME TO BE POSTED BY 1/12/23. MODIFIED BY USDJ KAPLAN TO ADD THE CONDITION THAT THE DEFENDANT BE PROHIBITED FROM ACCESSING OR TRANSFERRING ANY FTX OR ALEMEDA ASSETS OR CYRPTOCURRENCY, INCLUDING ASSETS OR CYRPTOCURRENCY PURCHASED WITH FUNDS FROM FTX OR ALAMEDA WITH FTX DEFINED TO INCLUDE FTX.COM, FTX U.S., FTX DIGITAL MARKETS, LTD AND ALL AFFILIATED SUBSIDIARY AND SUCCESSOR ENTITIES AND ALAMEDA DEFINED TO INCLUDE ALAMEDA RESEARCH AND ANY AFFILIATED SUBSIDIARY AND SUCCESSOR ENTITIES. (lnl) (Entered: 01/30/2023)
01/30/2023	57	MEMORANDUM OPINION as to Samuel Bankman-Fried: For the foregoing reasons, the News Organizations' motions to intervene (Dkt 31, 40, 42, 43) are GRANTED for the limited purpose of asserting the public's claimed right of access to the names of the non-parental sureties. Further, the News Organizations' motions to unseal the names of the non-parental sureties are GRANTED. As the question presented here is novel and an appeal is likely, this order is stayed until 5 p.m. on February 7, 2023 and, if a notice of appeal from this order is filed by then, until February 14, 2023 at 5 p.m. in order to permit an application for a further stay to be made to the Court of Appeals should any adversely affected party wish to file one. SO ORDERED. (Signed by Judge Lewis A. Kaplan on 1/30/2023) (lnl) (Entered: 01/30/2023)
02/01/2023	58	ORDER as to Samuel Bankman-Fried regarding the Government's 1/27/2023 letter motion for bail modification: "The Court will hear argument on this matter on February 7, 2023 at 2 p.m. In the interim, pending the outcome of that hearing, I hereby amend the conditions of defendants release, effective immediately, to add the governments proposed additional conditions that (1) the defendant shall not contact or communicate with current or former employees of FTX or Alameda (other than immediate family members) except in the presence of counsel, unless the government or Court exempts an individual from this rule and (2) the defendant shall not use any encrypted or ephemeral call or messaging

		application, including but not limited to Signal." (Signed by Judge Lewis A. Kaplan on 2/1/2023)(Mohan, Andrew) (Entered: 02/01/2023)
02/02/2023	59	LETTER MOTION addressed to Judge Lewis A. Kaplan from Mark S. Cohen dated 2/2/23 re: 52 Scheduling Order, 53 Letter, 51 Letter, 58 Order,,, re: request to modify scheduling order as to Samuel Bankman-Fried. . Document filed by Samuel Bankman-Fried. (Cohen, Mark) (Entered: 02/02/2023)
02/02/2023	60	MEMO ENDORSED ORDER granting 59 LETTER MOTION Time for submission of reply papers previously due today is extended until Monday, February 6, 2023. Oral argument is adjourned until 10:30 a.m. on February 9, 2023. re: 59 LETTER MOTION addressed to Judge Lewis A. Kaplan from Mark S. Cohen dated 2/2/23 re: 52 Scheduling Order, 53 Letter, 51 Letter, 58 Order,,, re: request to modify scheduling order as to Samuel Bankman-Fried. . as to Samuel Bankman-Fried (1). (Signed by Judge Lewis A. Kaplan on 2/2/2023) (Mohan, Andrew) (Entered: 02/02/2023)
02/02/2023		Bail modification hearing re Bankman-Fried set for 2/9/2023 at 10:30 AM in Courtroom 21B, 500 Pearl Street, New York, NY 10007 before Judge Lewis A. Kaplan. (Mohan, Andrew) (Entered: 02/02/2023)
02/06/2023	61	LETTER MOTION addressed to Judge Lewis A. Kaplan from Mark S. Cohen dated 2/6/23 re: 52 Scheduling Order, 53 Letter, 51 Letter, 59 LETTER MOTION addressed to Judge Lewis A. Kaplan from Mark S. Cohen dated 2/2/23 re: 52 Scheduling Order, 53 Letter, 51 Letter, 58 Order,,, re: request to modify scheduling order as to Samuel Bankman-Fried. ., 58 Order,,, re: Request to Modify Bail Conditions . Document filed by Samuel Bankman-Fried. (Cohen, Mark) (Entered: 02/06/2023)
02/07/2023	62	MEMO ENDORSEMENT denying 61 LETTER MOTION addressed to Judge Lewis A. Kaplan from Mark S. Cohen dated 2/6/23 re: Request to Modify Bail Conditions. ENDORSEMENT: The letter motion (Dkt 61) is denied without prejudice pending oral argument, which remains scheduled for 10:30 a.m. on February 9, 2023. SO ORDERED. (Signed by Judge Lewis A. Kaplan on 2/7/2023) (Inl) (Entered: 02/07/2023)
02/07/2023	63	LETTER by Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Thomas F. Morrissey, Jr., dated 1/31/2023, re: Request to reverse decision. (Inl) (Entered: 02/07/2023)
02/07/2023	64	NOTICE OF APPEAL (Interlocutory) by Samuel Bankman-Fried from 57 Memorandum Opinion. Filing fee \$ 505.00, receipt number 12125. (nd) (Entered: 02/07/2023)
02/07/2023		Transmission of Notice of Appeal and Certified Copy of Docket Sheet as to Samuel Bankman-Fried to US Court of Appeals re: 64 Notice of Appeal - Interlocutory. (nd) (Entered: 02/07/2023)
02/07/2023		Appeal Record Sent to USCA (Electronic File). Certified Indexed record on Appeal Electronic Files as to Samuel Bankman-Fried re: 64 Notice of Appeal - Interlocutory were transmitted to the U.S. Court of Appeals. (nd) (Entered: 02/07/2023)
02/09/2023		Minute Entry for proceedings held before Judge Lewis A. Kaplan: Status Conference as to Samuel Bankman-Fried held on 2/9/2023. Conference regarding Samuel Bankman-Fried before the Hon. Lewis A. Kaplan held. Defendant Samuel Bankman-Fried present with attorneys Mark S. Cohen and Christian R. Everdell. AUSAs Danielle R. Sassoon, Nicolas Roos, Danielle Kudla, and Andrew Rohrbach present. Pretrial Services Officer Jonathan Lettieri present. Argument regarding bail modification heard. The Court extends the February 1, 2023 Order (Dkt 58) until 11 :59 p.m. on February 21, 2023. The parties shall file their submission or submissions with respect to defendant's conditions of release on or before February 13, 2023. (Court Reporter Steven Greenblum) (ap) Modified on 2/9/2023 (Mohan, Andrew). (Entered: 02/09/2023)

02/09/2023	65	ORDER as to Samuel Bankman-Fried: In view of today's hearing before the undersigned, and on consent of the parties, the Court extends the February 1, 2023 Order (Dkt 58) until 11 :59 p.m. on February 21, 2023. The parties shall file their submission or submissions with respect to defendant's conditions of release on or before February 13, 2023. (Signed by Judge Lewis A. Kaplan on 2/9/2023) (ap) (Entered: 02/09/2023)
02/13/2023	66	LETTER by USA as to Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Danielle R. Sassoon dated February 13, 2023 re: bail conditions Document filed by USA. (Sassoon, Danielle) (Entered: 02/13/2023)
02/14/2023	67	LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated February 14, 2023 re: 66 Letter re: response to government's letter re: bail conditions . Document filed by Samuel Bankman-Fried. (Everdell, Christian) (Entered: 02/14/2023)
02/14/2023	68	ORDER RE LETTER MOTION The parties' motions for an extension of the deadline for their submission or submissions with respect to defendant's conditions of release (Dkt 66; Dkt 67) are denied in part. The parties will supplement their submissions with respect to the defendant's conditions of release by February 15, 2023 at 5:00 p.m. The Court will hear argument on this matter on February 16, 2023 at 2:30 p.m. It is undisputed by the parties that the defendant has used a VPN or "Virtual Private Network" at least twice to access the internet while on release- and at least once since the Court ordered that the defendant refrain from using any encrypted or ephemeral call or messaging applications. (Dkt 58) The defendant's use of a VPN presents many of the same risks associated with his use of an encrypted messaging or call application. Thus, pending the outcome of the hearing on February 16, I hereby amend the conditions of defendant's release, effective immediately, to prohibit the defendant's use of any VPN. Moreover, the Court extends the February 1, 2023 Order (Dkt 58), as thus amended, until 11 :59 p.m. on February 24, 2023. re: 67 LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated February 14, 2023 re: 66 Letter re: response to government's letter re: bail conditions . as to Samuel Bankman-Fried (1). (Signed by Judge Lewis A. Kaplan on 2/14/2023) (Mohan, Andrew) (Entered: 02/14/2023)
02/14/2023		Set Hearings as to Samuel Bankman-Fried: Oral Argument set for 2/16/2023 at 02:30 PM in Courtroom 21B, 500 Pearl Street, New York, NY 10007 before Judge Lewis A. Kaplan.. (Mohan, Andrew) (Entered: 02/14/2023)
02/15/2023	69	ORDER as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison. On January 30, 2023, the Court issued an order granting the motions of several news organizations to unseal the names of defendant's non-parental bail sureties. (Dkt 57) Given the novelty of the question presented and the likelihood of appeal, the Court stayed the order "until 5 p.m. on February 7, 2023 and, if a notice of appeal from th[at] order [was] filed by then, until February 14, 2023 at 5 p.m. in order to permit an application for a further stay to be made to the Court of Appeals should any adversely affected party wish to file one." (Dkt 57, at 12.) On February 7, 2023, Defendant timely filed a notice of appeal from the January 30, 2023 Order. As of today, however, no application for a further stay has been made to the Court of Appeals. Accordingly, the Clerk shall file on the unrestricted public record complete copies of the redacted bonds previously docketed as Dkt 55 and 56. SO ORDERED. (Signed by Judge Lewis A. Kaplan on 2/15/2023)(bw) (Entered: 02/15/2023)
02/15/2023	70	UNSECURED PERSONAL RECOGNIZANCE Bond Entered as to Samuel Bankman-Fried in amount of \$200,000.00 PRB; TO BE SIGNED BY 1 FRP; TRAVEL RESTRICTED TO SDNY/EDNY/ (SOLELY FOR COURT APPEARANCES) AND THE N.D. CAL; SURRENDER TRAVEL DOCUMENTS & NO NEW APPLICATIONS; STRICT SUPERVISION BY PRETRIAL SERVICES; MENTAL

		HEALTH EVAL/TREATMENT AS DIRECTED BY PTS; HOME DETENTION; LOCATION MONITORING TECHNOLOGY AS DIRECTED BY PTS; DEFT NOT TO POSSESS FIREARM/DESTRUCTIVE DEVICE/OTHER WEAPON; DEFENDANT TO BE RELEASED ON OWN SIGNATURE PLUS SIGNATURE OF PARENTS AND SURRENDER OF PASSPORT/INSTALLATION OF ELECTRONIC MONITOR; REMAINING CONDITIONS TO BE MET BY 1/5/23; DEF. TO LIVE IN PARENTS HOME IN PALO ALTO IN N.D. CAL. AND TO BE SUPERVISED FROM THAT DISTRICT. PTS OFFICE MAY EXERCISE DISCRETION TO ALLOW DEFENDANT TO LEAVE HOME FOR EXERCISE. DEFT NOT TO OPEN NEW LINES OF CREDIT, OPEN A BUSINESS, OR ENTER INTO FINANCIAL TRANSACTIONS IN EXCESS OF \$1,000 WITHOUT PRE-APPROVAL OF GOVERNMENT OR COURT, EXCEPT TO PAY FOR LEGAL COSTS AND FEES; EQUITY INTEREST IN PARENTS HOME TO BE POSTED BY 1/12/23. MODIFIED BY USDJ KAPLAN TO ADD THE CONDITION THAT THE DEFENDANT BE PROHIBITED FROM ACCESSING OR TRANSFERRING ANY FTX OR ALEMEDA ASSETS OR CYRPTOCURRENCY, INCLUDING ASSETS OR CYRPTOCURRENCY PURCHASED WITH FUNDS FROM FTX OR ALAMEDA WITH FTX DEFINED TO INCLUDE FTX.COM, FTX U.S., FTX DIGITAL MARKETS, LTD AND ALL AFFILIATED SUBSIDIARY AND SUCCESSOR ENTITIES AND ALAMEDA DEFINED TO INCLUDE ALAMEDA RESEARCH AND ANY AFFILIATED SUBSIDIARY AND SUCCESSOR ENTITIES. (bw) (Entered: 02/15/2023)
02/15/2023	71	UNSECURED PERSONAL RECOGNIZANCE Bond Entered as to Samuel Bankman-Fried in amount of \$500,000.00 PRB; TO BE SIGNED BY 1 FRP; TRAVEL RESTRICTED TO SDNY/EDNY/ (SOLELY FOR COURT APPEARANCES) AND THE N.D. CAL; SURRENDER TRAVEL DOCUMENTS & NO NEW APPLICATIONS; STRICT SUPERVISION BY PRETRIAL SERVICES; MENTAL HEALTH EVAL/TREATMENT AS DIRECTED BY PTS; HOME DETENTION; LOCATION MONITORING TECHNOLOGY AS DIRECTED BY PTS; DEFT NOT TO POSSESS FIREARM/DESTRUCTIVE DEVICE/OTHER WEAPON; DEFENDANT TO BE RELEASED ON OWN SIGNATURE PLUS SIGNATURE OF PARENTS AND SURRENDER OF PASSPORT /INSTALLATION OF ELECTRONIC MONITOR; REMAINING CONDITIONS TO BE MET BY 1/5/23; DEF. TO LIVE IN PARENTS HOME IN PALO ALTO IN N.D. CAL. AND TO BE SUPERVISED FROM THAT DISTRICT. PTS OFFICE MAY EXERCISE DISCRETION TO ALLOW DEFENDANT TO LEAVE HOME FOR EXERCISE. DEFT NOT TO OPEN NEW LINES OF CREDIT, OPEN A BUSINESS, OR ENTER INTO FINANCIAL TRANSACTIONS IN EXCESS OF \$1,000 WITHOUT PRE-APPROVAL OF GOVERNMENT OR COURT, EXCEPT TO PAY FOR LEGAL COSTS AND FEES; EQUITY INTEREST IN PARENTS HOME TO BE POSTED BY 1/12/23. MODIFIED BY USDJ KAPLAN TO ADD THE CONDITION THAT THE DEFENDANT BE PROHIBITED FROM ACCESSING OR TRANSFERRING ANY FTX OR ALEMEDA ASSETS OR CYRPTOCURRENCY, INCLUDING ASSETS OR CYRPTOCURRENCY PURCHASED WITH FUNDS FROM FTX OR ALAMEDA WITH FTX DEFINED TO INCLUDE FTX.COM, FTX U.S., FTX DIGITAL MARKETS, LTD AND ALL AFFILIATED SUBSIDIARY AND SUCCESSOR ENTITIES AND ALAMEDA DEFINED TO INCLUDE ALAMEDA RESEARCH AND ANY AFFILIATED SUBSIDIARY AND SUCCESSOR ENTITIES. (bw) (Entered: 02/15/2023)
02/15/2023	72	LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated February 15, 2023 re: bail conditions . Document filed by Samuel Bankman-Fried. (Attachments: # 1 Exhibit A - Declaration of David Sun)(Everdell, Christian) (Entered: 02/15/2023)

02/15/2023	73	LETTER MOTION addressed to Judge Lewis A. Kaplan from Nicolas Roos dated February 15, 2023 re: Modification of Bail Conditions . Document filed by USA as to Samuel Bankman-Fried. (Roos, Nicolas) (Entered: 02/15/2023)
02/16/2023	74	LETTER addressed to Judge Lewis A. Kaplan from S. Keithley dated 2/9/2023 re: Letter from S. Keithley regarding defendant Sam Bankman Fried (jw) (Entered: 02/16/2023)
02/16/2023		Minute Entry for proceedings held before Judge Lewis A. Kaplan: Oral Argument as to Samuel Bankman-Fried held on 2/16/2023. Defendant Samuel Bankman-Fried present with attorneys Mark S. Cohen and Christian R. Everdell. AUSAs Danielle R. Sassoon, Nicolas Roos, Samuel Raymond, Danielle Kudla, and Andrew, Rohrbach present. Pretrial Services Officer John Moscato present. Court reporter Andrew Walker present. Argument regarding bail conditions heard. Defendant remained released on bail. (Inl) (Entered: 02/16/2023)
02/17/2023	75	TRANSCRIPT of Proceedings as to Samuel Bankman-Fried re: Conference held on 2/9/2023 before Judge Lewis A. Kaplan. Court Reporter/Transcriber: Steven Greenblum, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 3/10/2023. Redacted Transcript Deadline set for 3/20/2023. Release of Transcript Restriction set for 5/18/2023. (McGuirk, Kelly) (Entered: 02/17/2023)
02/17/2023	76	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Samuel Bankman-Fried. Notice is hereby given that an official transcript of a Conference proceeding held on 2/9/2023 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 02/17/2023)
02/21/2023	77	LETTER as to Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Thomas F. Morrissey, Jr. dated January 31, 2023. (bw) (Entered: 02/21/2023)
02/21/2023	78	LETTER by Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated 2/21/23 re: technical consultant (Everdell, Christian) (Entered: 02/21/2023)
02/23/2023	79	ORDER as to Samuel Bankman-Fried to unseal S(3) superseding indictment. (Signed by Judge Lewis A. Kaplan on 2/23/23)(jm) Modified on 2/23/2023 (jm). (Entered: 02/23/2023)
02/23/2023	80	(S3) SUPERSEDING INDICTMENT FILED as to Samuel Bankman-Fried (1) count(s) 1s, 2s, 3s, 4s, 5s, 6s, 7s, 8s, 9s, 10s, 11s, 12s. (jm) (ORIGINALLY FILED UNDER SEAL ON 2/22/23) (Entered: 02/23/2023)
02/23/2023	81	LETTER as to Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Dr. Nicholas Weaver, Ph.D. dated 2/18/2023 re: I am a lecturer in Computer Science at the University of California at Berkeley and a researcher at the International Computer Science Institute in Berkeley. I am writing this amicus letter as an individual and uninterested party in US vs Bankmari-Fried (1:22-cr-00673) to offer an explanation of VPN technologies and usages as well as a comparison to a similarly situated defendant's bond conditions in a lower profile cryptocurrency case.... (bw) (Entered: 02/23/2023)
02/23/2023	82	ORDER as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison. In view of the February 16, 2023 hearing before the undersigned, and on consent of the parties, the Court extends the February 1, 2023 Order (Dkt 58), as amended by the February 14, 2023

		Order (Dkt 68), until 11:59 p.m. on March 3, 2023. SO ORDERED. (Signed by Judge Lewis A. Kaplan on 2/23/2023)(bw) (Entered: 02/23/2023)
02/23/2023	83	LETTER as to Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Robert A. Bishop, Texas Retired CPA. (bw) (Entered: 02/23/2023)
02/23/2023	84	LETTER by USA as to Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from AUSA Nicolas Roos dated February 22, 2023 re: On February 22, 2023, a grand jury returned a twelve-count superseding indictment (the "S3 Indictment") charging Samuel Bankman-Fried with conspiracy to commit wire fraud, wire fraud, conspiracy to commit commodities fraud, commodities fraud, conspiracy to commit securities fraud, securities fraud, conspiracy to commit bank fraud, conspiracy to commit unlicensed money transmission, conspiracy to commit money laundering, and conspiracy to commit campaign finance violations and defraud the Federal Election Commission. A copy of the S3 Indictment is enclosed. As the Court knows, the defendant was previously extradited from The Bahamas on an Indictment returned on December 9, 2022. The S3 Indictment has been sealed so as to permit time for the Government to make the appropriate diplomatic notifications about the new charges. Those notifications will be made this evening. Accordingly, the Government respectfully requests that the Court order the S3 Indictment unsealed as of 9:00 a.m. on February 23, 2023. For the reasons set forth in this letter, the Government also respectfully requests that this letter be maintained under seal until the unsealing of the S3 Indictment. (bw) (Entered: 02/23/2023)
02/23/2023	85	LETTER by USA as to Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Nicolas Roos dated February 23, 2023 re: S3 Indictment, Arraignment, and Exclusion of Time Document filed by USA. (Roos, Nicolas) (Entered: 02/23/2023)
02/24/2023	86	TRANSCRIPT of Proceedings as to Samuel Bankman-Fried re: Conference held on 2/16/2023 before Judge Lewis A. Kaplan. Court Reporter/Transcriber: Andrew Walker, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 3/17/2023. Redacted Transcript Deadline set for 3/27/2023. Release of Transcript Restriction set for 5/25/2023. (McGuirk, Kelly) (Entered: 02/24/2023)
02/24/2023	87	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Samuel Bankman-Fried. Notice is hereby given that an official transcript of a Conference proceeding held on 2/16/2023 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 02/24/2023)
02/24/2023	88	LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated 2/24/23 re: Request for Extension . Document filed by Samuel Bankman-Fried. (Everdell, Christian) (Entered: 02/24/2023)
02/27/2023	89	USCA MANDATE ON INTERLOCUTORY APPEAL (certified copy) as to Samuel Bankman-Fried re: 64 Notice of Appeal - Interlocutory. USCA Case Number 23-6134. Appellant moves to withdraw the above-captioned appeal. IT IS HEREBY ORDERED that the motion is GRANTED. The appeal is deemed WITHDRAWN. Catherine O'Hagan Wolfe, Clerk USCA. Issued As Mandate: 2/27/2023. (nd) (Entered: 02/27/2023)
02/28/2023	90	(S4) SUPERSEDING INFORMATION (Felony) filed as to Nishad Singh (4) count(s) 1, 2, 3, 4, 5, 6. (jbo) (Entered: 02/28/2023)
02/28/2023	91	WAIVER OF INDICTMENT by Nishad Singh. (jbo) (Entered: 02/28/2023)

02/28/2023	92	ORDER as to Nishad Singh. Upon the application of the United States, and with the consent of the defendant, redacted copies of the (S4) superseding information and the Consent Preliminary Order of Forfeiture as to Specific Property shall be filed on the public docket and the unredacted originals of each document shall be kept by the Clerk of Court under seal pending further order of this Court. SO ORDERED. (Signed by Judge Lewis A. Kaplan on 2/28/23)(jbo) (Entered: 02/28/2023)
02/28/2023		Case Designated ECF as to Nishad Singh. (jbo) (Entered: 02/28/2023)
02/28/2023		Minute Entry for proceedings held before Judge Lewis A. Kaplan: Initial Appearance as to Nishad Singh held on 2/28/2023. Arraignment as to Nishad Singh (4) Count 1,2,3,4,5,6 held on 2/28/2023. Initial appearance and entry of guilty plea regarding defendant Nishad Singh (04) before the Hon. Lewis A. Kaplan held. Defendant Nishad Singh present with attorneys Russell Capone and Andrew Goldstein. AUSAs Danielle R. Sassoon, Nicolas Roos, and Andrew Rohrbach present. Pretrial Services Officer Maherun Mayer present. Court reporter Doug Colavito present. Defendant arraigned on the (S4) information, waived indictment, and entered a plea of guilty as charged in the (S4) information. Redacted copies of the (S4) information and the Consent Preliminary Order of Forfeiture as to Specific Property were ordered to be filed, with the unredacted originals to be filed under seal. Bail conditions as to defendant Nishad Sing are set as follows: \$250,000 personal recognizance bond, co-signed by one financially responsible person; travel restricted to the continental United States; the defendant to surrender all travel documents and refrain from making any new applications; supervision as directed by Pretrial Services; and adherence to all other standard conditions of release. Sentencing scheduled for 11/13/2024 at 10:00 AM. (jbo) (Entered: 02/28/2023)
02/28/2023		Minute Entry for proceedings held before Judge Lewis A. Kaplan: Plea entered by Nishad Singh (4) Guilty as to Count 1,2,3,4,5,6. (Sentencing set for 11/13/2024 at 10:00 AM before Judge Lewis A. Kaplan.) (jbo) (Entered: 02/28/2023)
02/28/2023	93	RULE 5(F) ORDER as to Nishad Singh. (Signed by Judge Lewis A. Kaplan on 2/28/23)(jbo) (Entered: 02/28/2023)
02/28/2023	94	BAIL ORDER as to Nishad Singh. Bail conditions as to defendant Nishad Sing are set as follows: \$250,000 personal recognizance bond, co-signed by one financially responsible person; travel restricted to the continental United States; the defendant to surrender all travel documents and refrain from making any new applications; supervision as directed by Pretrial Services; and adherence to all other standard conditions of release. SO ORDERED. (Signed by Judge Lewis A. Kaplan on 2/28/23)(jbo) (Entered: 02/28/2023)
02/28/2023	95	CONSENT PRELIMINARY ORDER OF FORFEITURE AS TO SPECIFIC PROPERTY as to Nishad Singh. (Signed by Judge Lewis A. Kaplan on 2/28/23)(jbo) (Entered: 02/28/2023)
02/28/2023	96	Appearance Bond Entered as to Nishad Singh: \$250,000 Personal recognizance bond; To be cosigned by one financially responsible person; Additional conditions..... (jbo) (Main Document 96 replaced on 3/22/2023) (jbo). (Entered: 02/28/2023)
02/28/2023	97	SEALED DOCUMENT placed in vault. (nmo) (Entered: 02/28/2023)
02/28/2023	98	AMENDED BAIL ORDER as to Nishad Singh. (Signed by Judge Lewis A. Kaplan on 2/28/2023) (See ORDER set forth) (ap) (Entered: 02/28/2023)
03/01/2023	99	LETTER by Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated March 1, 2023 re: proposed technical consultant (Attachments: # 1 Exhibit A - CV of Edward Stroz, # 2 Exhibit B - CV of Michael McGowan)(Everdell, Christian) (Entered: 03/01/2023)

03/03/2023	100	LETTER by USA as to Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Nicolas Roos dated March 3, 2023 re: Joint Proposed Modified Conditions of Release re Electronic Devices and the Internet Document filed by USA. (Roos, Nicolas) (Entered: 03/03/2023)
03/06/2023	101	LETTER by Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan, from Tom Janson dated 2/24/2023 re: Tom Janson writes to request Defendant's bail be revoked. (ap) (Entered: 03/06/2023)
03/07/2023	102	TRANSCRIPT of Proceedings as to Nishad Singh re: Plea held on 2/28/2023 before Judge Lewis A. Kaplan. Court Reporter/Transcriber: Doug Colavito, (212) 805-0320, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 3/28/2023. Redacted Transcript Deadline set for 4/7/2023. Release of Transcript Restriction set for 6/5/2023. (McGuirk, Kelly) (Entered: 03/07/2023)
03/07/2023	103	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Nishad Singh. Notice is hereby given that an official transcript of a Plea proceeding held on 2/28/2023 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 03/07/2023)
03/07/2023	104	LETTER by Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan, from Mary Moon dated 2/17/2023 re: Mary Moon writes regarding release. (ap) (Entered: 03/07/2023)
03/07/2023		Set Hearings as to Samuel Bankman-Fried: Pretrial Conference set for 3/10/2023 at 11:00 AM in Courtroom 21B, 500 Pearl Street, New York, NY 10007 before Judge Lewis A. Kaplan. The defendant himself is not required to attend. (Mohan, Andrew) (Entered: 03/07/2023)
03/08/2023	105	LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated March 8, 2023 re: Bail Conditions, Database Access, and Motions Schedule . Document filed by Samuel Bankman-Fried. (Everdell, Christian) (Entered: 03/08/2023)
03/10/2023		Minute Entry for proceedings held before Judge Lewis A. Kaplan:Pretrial Conference as to Samuel Bankman-Fried held on 3/10/2023. Defendant not present, but attorneys Mark Cohen and Christian Everdell present. AUSAs Danielle Sassoon, Nicolas Roos, and Danielle M. Kudla present. (Court Reporter Khris Sellin) (Mohan, Andrew) (Entered: 03/20/2023)
03/16/2023	106	LETTER addressed to Judge Lewis A. Kaplan from Nicholas Weaver, Ph.D dated 2/18/2023 re: I am a lecturer in Computer Science at the University of California at Berkeley and a researcher at the International Computer Science Institute in Berkeley. I am writing this amicus letter as an individual and uninterested party in US vs Bankman-Fried (1:22-cr-00673) to offer an explanation of VPN technologies and usages as well as a comparison to a similarly situated defendant's bond conditions in a lower profile cryptocurrency case. (jw) (Entered: 03/16/2023)
03/17/2023	107	TRANSCRIPT of Proceedings as to Samuel Bankman-Fried re: Conference held on 3/10/2023 before Judge Lewis A. Kaplan. Court Reporter/Transcriber: Khristine Sellin, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due

		4/7/2023. Redacted Transcript Deadline set for 4/17/2023. Release of Transcript Restriction set for 6/15/2023. (McGuirk, Kelly) (Entered: 03/17/2023)
03/17/2023	108	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Samuel Bankman-Fried. Notice is hereby given that an official transcript of a Conference proceeding held on 3/10/2023 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 03/17/2023)
03/17/2023	109	LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated March 17, 2023 re: Request to Modify Bail Conditions . Document filed by Samuel Bankman-Fried. (Attachments: # 1 Text of Proposed Order (Proposed Order))(Everdell, Christian) (Entered: 03/17/2023)
03/24/2023	111	LETTER by USA as to Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Nicolas Roos dated March 24, 2023 re: Status Report re Proposed Bail Modifications Document filed by USA. (Roos, Nicolas) (Entered: 03/24/2023)
03/27/2023	112	LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated March 27, 2023 re: Request to Modify Bail Conditions . Document filed by Samuel Bankman-Fried. (Attachments: # 1 Exhibit A - Proposed Order, # 2 Exhibit B - Declaration of David Sun)(Everdell, Christian) (Entered: 03/27/2023)
03/28/2023	113	LETTER by USA as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison, Nishad Singh addressed to Judge Lewis A. Kaplan from AUSA Danielle Kudla dated 03/28/2023 re: Requesting Court Conference Document filed by USA. (Attachments: # 1 Exhibit 1, # 2 Exhibit 1 (continued))(Kudla, Danielle) (Entered: 03/28/2023)
03/28/2023	114	ORDER as to Samuel Bankman-Fried to unseal the S(5) superseding indictment as of 9:00 AM on 3/28/23. (Signed by Magistrate Judge Robert W. Lehrburger on S5)(jm) (Entered: 03/28/2023)
03/28/2023		Set/Reset Hearings as to Samuel Bankman-Fried: Pretrial Conference set for 3/30/2023 at 11:00 AM in Courtroom 21B, 500 Pearl Street, New York, NY 10007 before Judge Lewis A. Kaplan.. (Mohan, Andrew) (Entered: 03/28/2023)
03/28/2023	115	(S5) SUPERSEDING INDICTMENT FILED as to Samuel Bankman-Fried (1) count(s) 1ss, 2ss, 3ss, 4ss, 5ss, 7ss, 8ss, 10ss, 11ss, 12ss, 13ss. (jm) (Entered: 03/28/2023)
03/28/2023	116	ORDER as to Samuel Bankman-Fried (1): IT IS HEREBY ORDERED that the Defendant's bail conditions will be modified by adding the following: 1. The Defendant will be given access to a laptop computer (the "Laptop") that will be configured to allow him to access the AWS Database via a secure VPN connection, pursuant to the following conditions: a.The Laptop will be owned and controlled by Cohen & Gresser and will not be one of Mr. Bankman-Fried's personal devices.b.When Mr. Bankman-Fried wishes to use the Laptop, an attorney or paralegal from Cohen & Gresser will bring the Laptop to Mr. Bankman-Fried's residence, remain with Mr. Bankman-Fried while he uses the Laptop, and take back the Laptop and remove it from the residence when he is finished. c.Mr. Bankman-Fried may use the Laptop only to query, review, and analyze the data in the AWS Database, and share those results with his counsel and others on the defense team. d.For those purposes, the Laptop will be installed with the Microsoft 11 operating system and its associated programs and will be loaded only with the following additional applications and programs: 1.AWS VPN client (to access the AWS Database); ii. pgAdmin (PostgreSQL tool to query the AWS Database); iii. Microsoft Office, including Microsoft Word, Excel, and Access (to create documents and analyze data); iv. Adobe

		Acrobat Reader (to read documents in.pdf format); v. 7zip (to create zip files to transfer large files); vi. Google Chrome (to send files to the defense team via Mr. Bankman-Fried's Gmail account or via the Cohen & Gresser ShareFile site); and vii. A remote access support tool (for remote support by Cohen & Gresser). e. If requested, Cohen & Gresser will provide the Government with device specific identifying information for the Laptop. 2. Apart from this modification, the Defendant's existing bail conditions will remain in effect. SO ORDERED. (Signed by Judge Lewis A. Kaplan on 3/28/2023) (lnl) (Entered: 03/28/2023)
03/28/2023	117	MEMO ENDORSEMENT as to Samuel Bankman-Fried (1) on 112 LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated March 27, 2023 re: Request to Modify Bail Conditions. ENDORSEMENT: The request to file Appendix I under seal is granted for the reasons stated above. SO ORDERED. (Signed by Judge Lewis A. Kaplan on 3/28/2023) (lnl) (Entered: 03/28/2023)
03/28/2023	118	ORDER as to Samuel Bankman-Fried: IT IS HEREBY ORDERED that the Defendant's bail conditions will be modified by adding the following: General Conditions 1. The Defendant shall not contact or communicate with current or former employees of FTX or Alameda (other than immediate family members), except in the presence of counsel, unless the Government or Court exempts an individual from this rule in writing. 2. The Defendant shall not use any encrypted or ephemeral call or messaging application, including but not limited to "Signal." 3. The Defendant shall not use a Virtual Private Network ("VPN"), except as explicitly authorized in his bail conditions, as described below and in Appendix 1. (Signed by Judge Lewis A. Kaplan on 3/28/2023) (See ORDER as further set forth) (lnl) (Entered: 03/28/2023)
03/28/2023	119	MEMO ENDORSEMENT as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison, Nishad Singh on 113 LETTER by USA as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison, Nishad Singh addressed to Judge Lewis A. Kaplan from AUSA Danielle Kudla dated 03/28/2023 re: Requesting Court Conference. ENDORSEMENT: The Court will arraign the defendant on S5 and hold a pretrial conference on March 30, 2023 at 11 am. SO ORDERED. (Arrestment set for 3/30/2023 at 11:00 AM before Judge Lewis A. Kaplan) (Signed by Judge Lewis A. Kaplan on 3/28/2023) (lnl) (Entered: 03/28/2023)
03/29/2023	120	NOTICE OF ATTORNEY APPEARANCE Jil Simon appearing for USA. (Simon, Jil) (Entered: 03/29/2023)
03/30/2023		Minute Entry for proceedings held before Judge Lewis A. Kaplan: Arraignment as to Samuel Bankman-Fried (1) Count 1s,1ss,2s,2ss,3s,3ss,4s,4ss,5s,5ss,6s,7s,7ss,8s,8ss,9s,10s,10ss,11s,11ss,12s,12ss, 13ss held on 3/30/2023. Conference regarding Samuel Bankman-Fried before the Hon. Lewis A. Kaplan held. Defendant Samuel Bankman-Fried present with attorneys Mark S. Cohen and Christian R. Everdell. AUSAs Danielle R. Sassoon, Nicolas Roos, Danielle Kudla, present. Court reporter Kristen Carranante present. Defendant arraigned on both the (S3) and (S5) indictments, the defendants entered pleas of Not Guilty to both the (S3) and (S5) indictments. Discovery status given by the Government. The Court ruled that the current bail order applies to the (S5) indictment. The motion schedule was modified so that Defendant's motions are due 5/8/2023; Government opposition due 5/29/2023; Defense replies due 6/12/2023; oral argument or a PTC is scheduled for 6/15/2023 @ 3:30 PM. Time is excluded from today through and including 10/2/2023 on all indictments in the interests of justice. Defendant remained released on bail. (Motions due by 5/8/2023. Responses due by 5/29/2023. Pretrial Conference/Oral Argument set for 6/15/2023 at 03:30 PM before Judge Lewis A. Kaplan.) Plea entered by Samuel Bankman-Fried (1) Count 1s,1ss,2s,2ss,3s,3ss,4s,4ss,5s,5ss,6s,7s,7ss,8s,8ss,9s,10s,10ss,11s,11ss,12s,12ss, 13ss Not Guilty. (lnl) (Entered: 03/30/2023)

04/04/2023	121	LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated 4/4/23 re: Request for Extension and Modification . Document filed by Samuel Bankman-Fried. (Everdell, Christian) (Entered: 04/04/2023)
04/04/2023	122	MEMO ENDORSEMENT as to Samuel Bankman-Fried (1) on 121 LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated 4/4/23 re: Request for Extension and Modification. ENDORSEMENT: SO ORDERED. (Signed by Judge Lewis A. Kaplan on 4/4/2023) (ap) (Entered: 04/04/2023)
04/11/2023	123	SEALED DOCUMENT placed in vault. (jus) (Entered: 04/11/2023)
04/11/2023	124	SEALED DOCUMENT placed in vault. (jus) (Entered: 04/11/2023)
04/11/2023	125	LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated April 11, 2023 re: Request for Enlargement of Time to Implement Bail Conditions . Document filed by Samuel Bankman-Fried. (Attachments: # 1 Exhibit A - Declaration of Allan Joseph Bankman, # 2 Exhibit B - Declaration of Barbara Fried)(Everdell, Christian) (Entered: 04/11/2023)
04/12/2023	126	MEMO ENDORSEMENT 125 LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated April 11, 2023 re: Request for Enlargement of Time to Implement Bail Conditions as to Samuel Bankman-Fried....ENDORSEMENT...Granted. SO ORDERED (Signed by Judge Lewis A. Kaplan on 4/12/2023) (jw) (Entered: 04/12/2023)
04/17/2023	127	TRANSCRIPT of Proceedings as to Samuel Bankman-Fried re: Arraignment held on 3/30/2023 before Judge Lewis A. Kaplan. Court Reporter/Transcriber: Kristen Carannante, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 5/8/2023. Redacted Transcript Deadline set for 5/18/2023. Release of Transcript Restriction set for 7/17/2023. (McGuirk, Kelly) (Entered: 04/17/2023)
04/17/2023	128	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Samuel Bankman-Fried. Notice is hereby given that an official transcript of a Conference proceeding held on 3/30/2023 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 04/17/2023)
04/18/2023	129	LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated April 18, 2023 re: Request for Extension . Document filed by Samuel Bankman-Fried. (Everdell, Christian) (Entered: 04/18/2023)
04/19/2023	130	MEMO ENDORSEMENT granting 129 LETTER MOTION filed by Samuel Bankman-Fried (1), addressed to Judge Lewis A. Kaplan from Attorney Christian R. Everdell dated April 18, 2023 re: Request for Extension.... Accordingly, we respectfully request the Court to grant an extension until Friday, April 21, 2023 to implement the bail conditions related to the parents' cellphones. In the meantime, we respectfully request that Mr. Bankman-Fried's parents be permitted to use their existing cellphones. ENDORSEMENT: Granted. SO ORDERED: (Signed by Judge Lewis A. Kaplan on 4/19/2023) (bw) (Entered: 04/19/2023)
04/20/2023	131	LETTER as to USA v. Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Karin Brothers dated March 3, 2023 re: Case no. 22 Cr. 673. (bw) (Entered: 04/20/2023)

04/21/2023	132	LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated 4/21/23 re: Request for Modification of Bail Conditions . Document filed by Samuel Bankman-Fried. (Everdell, Christian) (Entered: 04/21/2023)
04/24/2023	133	MEMO ENDORSEMENT as to Samuel Bankman-Fried (1) granting 132 LETTER MOTION addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated 4/21/23 re: Request for Modification of Bail Conditions. ENDORSEMENT: Granted. SO ORDERED. (Signed by Judge Lewis A. Kaplan on 4/22/2023) (Inl) (Entered: 04/24/2023)
05/08/2023	134	NOTICE OF ATTORNEY APPEARANCE: Stephen Gale Dick appearing for Samuel Bankman-Fried. Appearance Type: Retained. (Dick, Stephen) (Entered: 05/08/2023)
05/08/2023	135	NOTICE OF ATTORNEY APPEARANCE: Sri Kuehnlentz appearing for Samuel Bankman-Fried. Appearance Type: Retained. (Kuehnlentz, Sri) (Entered: 05/08/2023)
05/08/2023	136	MOTION to Dismiss <i>Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts.</i> Document filed by Samuel Bankman-Fried as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison, Nishad Singh. (Cohen, Mark) (Entered: 05/08/2023)
05/08/2023	137	DECLARATION of Christian R. Everdell in Support as to Samuel Bankman-Fried re: 136 MOTION to Dismiss <i>Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts.</i> . (Attachments: # 1 Exhibit 1 to Everdell Declaration, # 2 Exhibit 2 to Everdell Declaration, # 3 Exhibit 3 to Everdell Declaration, # 4 Exhibit 4 to Everdell Declaration, # 5 Exhibit 5 to Everdell Declaration, # 6 Exhibit 6 to Everdell Declaration, # 7 Exhibit 7 to Everdell Declaration, # 8 Exhibit 8 to Everdell Declaration, # 9 Exhibit 9 to Everdell Declaration, # 10 Exhibit 10 to Everdell Declaration, # 11 Exhibit 11 to Everdell Declaration, # 12 Exhibit 12 to Everdell Declaration, # 13 Exhibit 13 to Everdell Declaration)(Cohen, Mark) (Entered: 05/08/2023)
05/08/2023	138	DECLARATION of James Lewis, KC in Support as to Samuel Bankman-Fried re: 136 MOTION to Dismiss <i>Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts.</i> . (Attachments: # 1 Exhibit A to Lewis Declaration, # 2 Exhibit B to Lewis Declaration, # 3 Exhibit C to Lewis Declaration, # 4 Exhibit D to Lewis Declaration, # 5 Exhibit E to Lewis Declaration, # 6 Exhibit F to Lewis Declaration, # 7 Exhibit G to Lewis Declaration, # 8 Exhibit H to Lewis Declaration, # 9 Exhibit I to Lewis Declaration, # 10 Exhibit J to Lewis Declaration, # 11 Exhibit K to Lewis Declaration, # 12 Exhibit L to Lewis Declaration) (Cohen, Mark) (Entered: 05/08/2023)
05/08/2023	139	MEMORANDUM in Support by Samuel Bankman-Fried re 136 MOTION to Dismiss <i>Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts.</i> . (<i>Memorandum of Law in Support of Samuel Bankman-Fried's Motion to Dismiss Counts 9, 10, 12 and 13 as Violating the Rule of Specialty, or, in the Alternative, for Related Discovery</i>) (Cohen, Mark) (Entered: 05/08/2023)
05/08/2023	140	MEMORANDUM in Support by Samuel Bankman-Fried re 136 MOTION to Dismiss <i>Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts.</i> . (<i>Memorandum of Law in Support of Samuel Bankman-</i>

		<i>Fried's Motion to Dismiss Counts 7-9 and 1-2 for Failure to Allege a Valid Property Right</i> (Cohen, Mark) (Entered: 05/08/2023)
05/08/2023	141	MEMORANDUM in Support by Samuel Bankman-Fried re 136 MOTION to Dismiss Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts.. (Memorandum of Law in Support of Samuel Bankman-Fried's Motion to Dismiss Counts 3-4, and Count 10) (Cohen, Mark) (Entered: 05/08/2023)
05/08/2023	142	MEMORANDUM in Support by Samuel Bankman-Fried re 136 MOTION to Dismiss Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts.. (Memorandum of Law in Support of Samuel Bankman-Fried's Motion to Dismiss Counts 12-13 or, in the Alternative, to Sever Counts 12-13) (Cohen, Mark) (Entered: 05/08/2023)
05/08/2023	143	MEMORANDUM in Support by Samuel Bankman-Fried re 136 MOTION to Dismiss Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts.. (Memorandum of Law in Support of Samuel Bankman-Fried's Motion for Discovery on the Basis that FTX is Member of the Prosecution Team) (Cohen, Mark) (Entered: 05/08/2023)
05/08/2023	144	MEMORANDUM in Support by Samuel Bankman-Fried re 136 MOTION to Dismiss Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts.. (Memorandum of Law in Support of Samuel Bankman-Fried's Motion for a Bill of Particulars and Pretrial Disclosures) (Cohen, Mark) (Entered: 05/08/2023)
05/08/2023	145	MEMORANDUM in Support by Samuel Bankman-Fried re 136 MOTION to Dismiss Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts.. (Memorandum of Law in Support of Samuel Bankman-Fried's Motion to Dismiss Multiplicitous Counts) (Cohen, Mark) (Entered: 05/08/2023)
05/12/2023	147	LETTER by Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Christian R. Everdell dated May 12, 2023 re: Ciminelli decision (Everdell, Christian) (Entered: 05/12/2023)
05/23/2023		Reset Hearing Time as to Samuel Bankman-Fried: Oral Argument regarding DI 136 set for 6/15/2023 at 10:30 AM in Courtroom 21B, 500 Pearl Street, New York, NY 10007 before Judge Lewis A. Kaplan. (Mohan, Andrew) (Entered: 05/23/2023)
05/29/2023	148	MEMORANDUM in Opposition by USA as to Samuel Bankman-Fried re 136 MOTION to Dismiss Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts.. (Memorandum In Opposition to Defendant's Motion to Dismiss Based on the Rule of Specialty) (Rehn, Nathan) (Entered: 05/29/2023)
05/29/2023	149	MEMORANDUM in Opposition by USA as to Samuel Bankman-Fried re 136 MOTION to Dismiss Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts.. (Sassoon, Danielle) (Entered: 05/29/2023)

05/30/2023	150	MOTION to Compel <i>the Government to Produce Documents and for an Order Authorizing a Subpoena to Be Served on Fenwick & West</i> . Document filed by Samuel Bankman-Fried. (Cohen, Mark) (Entered: 05/30/2023)
05/30/2023	151	MEMORANDUM in Support by Samuel Bankman-Fried re 150 MOTION to Compel <i>the Government to Produce Documents and for an Order Authorizing a Subpoena to Be Served on Fenwick & West</i> .. (Attachments: # 1 Exhibit 1- Subpoena with Attachments 1 - 23)(Cohen, Mark) (Entered: 05/30/2023)
05/30/2023	152	DECLARATION of Christian R. Everdell in Support as to Samuel Bankman-Fried re: 150 MOTION to Compel <i>the Government to Produce Documents and for an Order Authorizing a Subpoena to Be Served on Fenwick & West</i> .. (Attachments: # 1 Exhibit 1- Excerpts from transcript from February 6, 2023 Hearing in In re FTX (Bankr. D. Del.)) (Cohen, Mark) (Entered: 05/30/2023)
06/05/2023	153	LETTER by Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Mark S. Cohen dated 6/5/23 re: Discovery Status (Cohen, Mark) (Entered: 06/05/2023)
06/06/2023	154	LETTER MOTION addressed to Judge Lewis A. Kaplan from AUSA Andrew Rohrbach dated June 6, 2023 re: Terminate appearance . Document filed by USA as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison, Nishad Singh. (Rohrbach, Andrew) (Entered: 06/06/2023)
06/06/2023	155	MEMO ENDORSEMENT as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison, Nishad Singh granting 154 LETTER MOTION addressed to Judge Lewis A. Kaplan from AUSA Andrew Rohrbach dated June 6, 2023 re: Terminate appearance. ENDORSEMENT: Granted. SO ORDERED. (Attorney Andrew Rohrbach terminated in case as to Samuel Bankman-Fried, Zixiao (Gary) Wang, Caroline Ellison, Nishad Singh) (Signed by Judge Lewis A. Kaplan on 6/6/2023) (Inl) (Entered: 06/06/2023)
06/09/2023	156	MEMORANDUM in Opposition by USA as to Samuel Bankman-Fried re 150 MOTION to Compel <i>the Government to Produce Documents and for an Order Authorizing a Subpoena to Be Served on Fenwick & West</i> .. (Roos, Nicolas) (Entered: 06/09/2023)
06/12/2023	157	DECLARATION of Honourable James Lewis KC in Support as to Samuel Bankman-Fried re: 136 MOTION to Dismiss <i>Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts</i> .. (Cohen, Mark) (Entered: 06/12/2023)
06/12/2023	158	REPLY MEMORANDUM OF LAW in Support as to Samuel Bankman-Fried re: 136 MOTION to Dismiss <i>Counts 1-4, 7-10 and 12-13 in the S5 Indictment, or in the Alternative, to Sever Counts 12-13, for Additional Discovery, a Bill of Particulars and Pretrial Disclosures, and to Dismiss Multiplicitous Counts</i> . . (Cohen, Mark) (Entered: 06/12/2023)
06/13/2023	159	ORDER as to Samuel Bankman-Fried. Defendant Samuel Bankman-Fried has moved to compel the production of certain documents relating to Fenwick & West LLP's representation of FTX Trading Ltd., West Realm Shires Inc., Alameda Research LLC, Alameda Research LTD, and their subsidiaries and affiliates (the "FTX Debtors"). (Dkt 150) The FTX Debtors move to intervene to oppose the defendant's motion, and they request a protective order barring the production of certain allegedly privileged materials....[*** See this Order ***]... In the present case, the defendant's motion to compel seeks documents that reflect Fenwick & West LLP's "research, assessment, analysis, guidance, or legal advice" conducted for or provided to the FTX Debtors.(See Footnote 4 on this Order). Such requests, on their face, call for documents protected from disclosure by the FTX Debtors' attorney-client privilege. Accordingly, the FTX Debtors'

		motion to intervene is granted and the Clerk is directed to add the FTX Debtors as intervenors to the case. The merits of the defendant's motion to compel and the FTX Debtors' motion for a protective order will be addressed in due course. SO ORDERED. (Signed by Judge Lewis A. Kaplan on 6/13/2023)(bw) (Entered: 06/13/2023)
06/13/2023	160	LETTER by Samuel Bankman-Fried addressed to Judge Lewis A. Kaplan from Mark S. Cohen dated June 13, 2023 re: Supreme Court of the Bahamas Ruling (Attachments: # 1 Exhibit A - June 13, 2023 Ruling from The Bahamas Supreme Court)(Cohen, Mark) (Entered: 06/13/2023)

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