

INSTITUTE FOR INTERNATIONAL  
AND COMPARATIVE LAW



THE CENTER FOR AMERICAN  
AND INTERNATIONAL LAW

**Policy and enforcement  
updates and benchmarking  
in international  
compliance, including:**

- Customs
- Economic sanctions and embargoes
- Export controls
- Anti-corruption compliance
- Anti-money laundering compliance
- Current "Best Practices" for multinationals

**Critical insights from:**

- Key enforcement officials and policymakers
- Senior industry representatives
- Leading practitioners

*"[T]ruly one of the best programs out there for in-house counsel dealing with international compliance issues."*

-2007 participant

# International Corporate Compliance

**February 21-22, 2008**

*A single forum addressing  
international ethics and  
compliance obligations*

**Ronald Reagan  
Building and International  
Trade Center  
Washington, DC**

# INTERNATIONAL CORPORATE COMPLIANCE

A single forum addressing international ethics and compliance obligations

February 21-22, 2008

Ronald Reagan Building and International Trade Center • Washington, DC

## WHO WILL BE THERE?

**GET POLICY AND ENFORCEMENT UPDATES FROM KEY OFFICIALS FROM:**

- U.S. Department of State
- U.S. Department of Commerce
- U.S. Department of Justice
- U.S. Customs and Border Protection
- U.S. Department of the Treasury
- U.S. Securities and Exchange Commission

**BENCHMARK AND UPDATE YOUR OWN PROGRAM WITH IN-HOUSE INSIGHTS FROM LEADING COMPANIES, INCLUDING:**

Alcatel-Lucent	The Home Depot
Baxter Healthcare	Honeywell International Inc.
Exterran Data Systems	ITT Corporation
Ford Motor Company	JP Morgan
GE Commercial Finance	Morgan Stanley
Hitachi Data Systems	Wal-Mart

## WHO SHOULD COME?

This is a must-attend conference for in-house and outside counsel, ethics and compliance officers, import and export compliance managers, contracts personnel, and other compliance gatekeepers.

Join us for this one-of-a-kind conference featuring leading speakers on critical topics in the area of international corporate compliance. The program will address many international regulatory regimes critical to multinational operations around the globe.

Attendees are encouraged to come prepared to discuss the challenging issues they are facing in their companies. The conference will provide useful tools to update and upgrade your international compliance programs.

**INTERACTIVE WORKSHOPS ON:**

- Taking a U. S. Compliance Program Abroad - Pitfalls to Avoid
- Designing and Maintaining an Effective Compliance Program
- Private Sector Roundtable

**8:15 am WELCOME AND OPENING REMARKS**

**Kathryn Cameron Atkinson**, Partner, Miller & Chevalier Chartered, Washington, DC  
**Susan M. Ringler**, Senior Counsel for International Compliance, ITT Corporation, McLean, Virginia

**8:30 am INTERNATIONAL COMPLIANCE OVERVIEW WORKSHOP**

Review of the legal frameworks of each of the substantive regimes to be discussed in depth during the conference. The workshop will (1) cover the laws and regulations that establish standards of conduct in each area, (2) cover highlights relevant to compliance program design and function, and (3) serve as an introduction to the topics to follow in the panel discussions.

**Workshop Leaders:**

**Kathryn Cameron Atkinson**, Partner, Miller & Chevalier Chartered, Washington, DC  
**Susan M. Ringler**, Senior Counsel for International Compliance, ITT Corporation, McLean, Virginia

**10:30 am BREAK - AND MAIN CONFERENCE BEGINS**

**10:45 am CUSTOMS COMPLIANCE IN A POST-9/11 WORLD**

- What does today's customs compliance program look like?
- C-TPAT (Customs-Trade Partnership Against Terrorism) Tier 3: Are the additional benefits worth the additional effort?
- Standardizing customs compliance practices (policy, procedures, training, audits) across multiple countries
- Duty minimization as an internal justification for compliance resources
- U.S. Customs Rulings: When they're necessary, when they're valuable, when they should be avoided
- Importer Self-Assessment Program vs. Focused Assessment
  - Which is right for your company?
  - Update on programs and industry-specific guidelines
  - How proactive should companies be?

**Panelists:**

**Jeremy Baskin**, Acting Director, Border Security and Trade Compliance Division, U.S. Customs and Border Protection, Washington, DC

**Joel W. Rogers**, Senior Director, Legal Department, The Home Depot, Inc., Atlanta, GA

**Susan M. Pomerantz**, Vice President, Trade Management Consulting, JPMorgan, Southfield, MI

**Paul Vandeventer**, Attorney, Ford Motor Company, Dearborn, MI

***"This program was very professionally organized and managed, the quality of the speakers and materials very high, and the topics were timely and interesting."***

-2007 participant



**12:00 pm**      **LUNCHEON - Taking a U.S. Compliance Program Abroad: Pitfalls to Avoid**

Experienced company and outside compliance counsel discuss the most common practical obstacles presented by local cultures and legal frameworks when implementing a U.S.-based compliance program across a global organization:

EU Data Privacy Rules: How they affect due diligence, audits and internal investigations, and other critical compliance efforts

Hotlines: the current landscape outside the United States

Balancing workers' rights and potentially problematic behavior

Termination indemnification and other protections for third parties

Program translations: horror stories of verbatim translations

Training pitfalls: the importance of understanding local history and culture

**Moderator;**

**Susan Ringler**

**Workshop Leaders:**

**Anton Maurer**, Partner, CMS Hasche Sigle, Stuttgart, Germany

**Dana G. Nahlen**, Senior International Counsel, Electronic Data Systems, Inc., Plano, TX

**David H. Resnicoff**, Associate General Counsel & Vice President, Ethics & Compliance, Baxter Healthcare Corporation, Deerfield, IL

**Reema Ali**, Managing Partner, Ali & Partners, Washington, DC

**1:30 pm**      **BREAK**

**1:45 pm**      **ECONOMIC SANCTIONS AND EMBARGOES**

- Who's covered, who's targeted & what's prohibited?
- Sanctions law and policy developments
- Sanctions compliance challenges and strategies for multinationals
- What is facilitation?
- Voluntary disclosures, penalty guidelines, and enforcement trends

**Panelists:**

**Evan Berlack**, Partner, Baker Botts, Washington DC

**Sean Thornton**, Chief Counsel, Office of Foreign Assets Control, U.S. Department of the Treasury, Washington, DC

**Bruce E. Kutz**, Export Compliance Director, Alcatel-Lucent, Washington, DC

**3:15 pm**      **BREAK**

**3:30 pm**      **EXPORT CONTROLS**

- Complying with defense trade controls and anti-terrorism measures
- Navigating the inter-agency licensing process
- Reducing successor liability risks in M&A transactions
- Voluntary disclosures: how and when to do them, and what to expect at each agency
- Enforcement update: what does the creation of the national coordinator position at DOJ mean for your compliance program?
- Policy developments on the horizon

**Panelists:**

**David C. Trimble**, Director, Office of Defense-Trade Controls Compliance, Bureau of Political Military Affairs, U.S. Department of State, Washington, DC

**Matthew S. Borman**, Deputy Assistant Secretary of Commerce for Export Administration, Bureau of Industry and Security, U.S. Department of Commerce, Washington, DC

**Leigh T. Hansson**, Partner, Reed Smith, Washington, DC

**Steven W. Pelak**, National Export Control Coordinator, U.S. Department of Justice, Washington, DC

**Martha E. Carson**, Trade Control Manager, Exterran, Houston, TX

**5:15 pm**      **RECESS**

**7:30 am ETHICS BREAKFAST - One Hour of Ethics on Compliance Related Issues**

**8:30 am WELCOME AND OPENING REMARKS**

**9:00 am ANTI-CORRUPTION COMPLIANCE**

- Compliance lessons from recent enforcement actions
- Ever-changing standards: what are “best practices” now?
- Can you effectively avoid vicarious FCPA liability for the acts of third parties?
- Compliance programs as preventive measures v. mitigation
- Program auditing and testing: Why SOX testing is not enough
- The compliance monitors phenomenon

**Moderator:**

**Homer E. Moyer, Jr.**, Miller & Chevalier Chartered, Washington, DC

**Panelists:**

**Mark F. Mendelsohn**, Deputy Chief, Fraud Section, Criminal Division,  
U.S. Department of Justice, Washington, DC

**Cheryl Scarboro**, Assistant Branch Chief, Division of Enforcement, U.S. Securities  
and Exchange Commission, Washington, DC

**O'Neil L. Woelke**, Senior Counsel, Customs and International Compliance, Chrysler LLC,  
Auburn Hills, MI

**Julia K. Bailey**, Asst. General Counsel, International Transactions and Compliance,  
Honeywell International, Washington, DC

**10:30 am BREAK**

**10:45 am ANTI-MONEY LAUNDERING COMPLIANCE**

- PATRIOT ACT convergence of AML and other international business regulatory regimes: enforcement trends and compliance expectations
- Hazards of non-compliance: lessons from recent high-profile AML cases
- Extra territorial jurisdiction: the reach of U.S. anti-money laundering laws beyond U.S. borders
- The perils of sticking your head in the sand: what is “willful blindness” and how to avoid it
- Compliance guidance for non-financial institutions: What is an effective AML compliance program?
- Common money-laundering tactics: protecting your company

**Panelists:**

**Douglas N. Greenburg**, Latham & Watkins, LLP, Washington, DC

**Lester M. Joseph**, Principal Deputy Chief, Asset Forfeiture & Money Laundering Section,  
Criminal Division, US Department of Justice, Washington, DC

**Carmina Hughes**, Executive Director, Daylight Forensics, Washington, DC

**Allen Love**, Global Head of Anti-Money Laundering, GE Commercial Finance, Norwalk, CT

**“Great program. Knowledgeable speakers.”**

-2007 participant



**11:45 am LUNCHEON WORKSHOP**

**Designing and Maintaining an Effective Program: Is Integration Practicable?**

- Area-specific policies and procedures v. international business practices guides
- Addressing unique compliance environments: how to address outliers
- Money-saving methods: reaching and monitoring training multinational workforces
- Building and maintaining an "ethics and compliance culture" worldwide
- Testing: differences and similarities in approaches across areas

**Moderator:**

**Kathryn Cameron Atkinson**

**Presenters:**

**Jane L. Wexton**, Partner, Duane Morris LLP, New York, NY

**David Karas**, Senior Director, Compliance, Hitachi Data Systems, Santa Clara, CA

**Joseph E. Murphy**, Of Counsel, Compliance Systems Legal Group, Haddonfield, NJ

**1:15 pm BREAK**

**1:30 pm EMERGING GLOBAL TRENDS AFFECTING ANTI-CORRUPTION COMPLIANCE**

- **The expanded scope of legal anti-bribery prohibitions**
- **The proliferation of model codes**
- **Increasing interest in the donor community and SRI sector**

**Nancy Z. Boswell**, President and CEO, Transparency International - USA, Washington, DC

**2:00 pm PRIVATE SECTOR ROUNDTABLE**

**An off-the-record discussion among private sector lawyers and their clients regarding challenges and frustrations in establishing effective compliance worldwide.**

**3:00 pm CONFERENCE ADJOURNS**

## GENERAL INFORMATION

### THE VENUE

Ronald Reagan Building and International Trade Center, 1300 Pennsylvania Avenue, NW, Washington, DC 20004 - Phone: 202/312-1300

### HOUSING

JW Marriott, 1331 Pennsylvania Avenue, Washington, DC 20004 - Phone: 202/393-2000  
Reservations must be made no later than 1/28/08 and must ask for "group" reservations and reference International Corporate Compliance to get the special rate of \$279.

### MCLE CREDITS

This course has been approved by the State Bar of Texas for 13.5 hours, including 1 hour of ethics. Course ID Number: 900036272. Sign-in sheets and/or certificates of attendance will be available for ALL states.

### NONDISCRIMINATION POLICY

The Center for American and International Law does not discriminate on the basis of race, sex, sexual orientation, color, religion, national origin or ancestry, age, disability, veteran status or any other protected status.

## REGISTRATION FORM

### FOUR WAYS TO REGISTER

#### • ONLINE

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Plano, TX USA 75024  
check or credit card

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8:30am-5:00pm  
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### PAYMENT MUST ACCOMPANY REGISTRATION

### CONFIRMATIONS WILL BE SENT TO ALL REGISTRANTS.

#### CANCELLATION POLICY:

Tuition less a \$50 cancellation fee will be refunded upon written notice received by Feb. 8, 2008. Email [cburkel@cailaw.org](mailto:cburkel@cailaw.org). After these dates, no refunds, but substitution of attendees for this program will be permitted. Registrants not entitled to a refund will receive the course materials.

	<b>Feb 21</b> <i>Pre-Conference Primer Only</i>	<b>Feb 21-22</b> <i>Advanced Sessions Only</i>	<b>All Sessions</b>
Regular Registration Fee	<input type="checkbox"/> \$295	<input type="checkbox"/> \$1095	<input type="checkbox"/> \$1245
Institute for International and Comparative Law Members:			
• 2007 Advisory Board Member	<input type="checkbox"/> \$195	<input type="checkbox"/> \$695	<input type="checkbox"/> \$845
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Government Employees	<input type="checkbox"/> \$195	<input type="checkbox"/> \$695	<input type="checkbox"/> \$845

*Payments must accompany registration.  
Confirmations will be sent to all registrants.*

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*“One-stop linkage of the various topics and opportunity to understand emerging trends.”*

-2007 participant

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**See Inside for program details!**