

The Guide to Compliance – Second Edition: Introduction and U.S. Compliance Requirements Chapter

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We are pleased to share the publication of the second edition of Global Investigation Review's The Guide to Compliance. Alejandra Montenegro Almonte co-edited the edition and co-authored the U.S. Compliance Requirements chapter with Ann Sultan and FeiFei Ren.* The Guide "combines a tour d'horizon of the rules in place around the world with specific practical advice for corporations and their counsel."

In the U.S. Compliance Requirements chapter, the authors outline the four primary sources of guidance documents on compliance requirements issued by U.S. authorities, including the Department of Justice (DOJ) and Securities and Exchange Commission (SEC), and discuss how the guidance documents "define U.S. government expectations and set the standards to which the DOJ and the SEC hold companies when evaluating their compliance programmes in criminal, civil and regulatory enforcement actions."

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